

# Search Report from Ginger D. Roberts

?show files;ds

File 350:Derwent WPIX 1963-2003/UD,UM &UP=200323

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File 344:Chinese Patents Abs Aug 1985-2003/Jan

(c) 2003 European Patent Office

File 347:JAPIO Oct 1976-2002/Dec(Updated 030402)

(c) 2003 JPO & JAPIO

File 371:French Patents 1961-2002/BOPI 200209

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Set	Items	Description
S1	17835	COMMODITY OR COMMODITIES
S2	930422	WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM()PRODUCT? ?
S3	118662	SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVED) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR PATENT? ? OR INTELLECTUAL()PROPERTY)
S4	6187202	CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERGY?
S5	378006	TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXCHANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR BUY? (5N)SELL? OR BID?
S6	69	E()S5
S7	16821	(ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR WEBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVICE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8	4008	CBOT OR CEA
S9	18323	(IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVERY? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY - OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE? OR HIDDEN? OR CONFIDENTIAL?)
S10	153990	DELIVERY? OR SHIPPING OR MAILING
S11	23	S1 (5N)S3
S12	3	(S6 OR S7 OR S8) AND S11
S13	0	S11 AND S9
S14	3	S11 AND S5
S15	0	S14 NOT S12
S16	1075	S2 (5N)S3
S17	0	(S6 OR S7 OR S8) AND S16
S18	9	S1 AND S7 AND S9
S19	9	S18 NOT (S12 OR S14)
S20	4	SPECIALTY (5N)S1
S21	28	S7 AND S9 AND S10
S22	25	S21 NOT (S12 OR S14 OR S18:S20)
?		

?t12/4/all

12/4/1 (Item 1 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2002-339743/200237|

XR- <XRPX> N02-267170|

TI- Operating method for networked asset recovery **portal** in which commodity is **traded** from seller to purchaser by **portal** for asset recovery maximization identifying by seller commodity to portal's operator and determining recovery strategy|

PA- CONVERGE INC (CONV-N)|

AU- <INVENTORS> ABHYANKER R; FREEBURGER P J|

NC- 095|

NP- 002|

PN- WO 200219236 A1 20020307 WO 2001US41925 A 20010828 200237 B|

PN- AU 200187216 A 20020313 AU 200187216 A 20010828 200249|

AN- <LOCAL> WO 2001US41925 A 20010828; AU 200187216 A 20010828|

AN- <PR> US 2000251953 P 20001207; US 2000229251 P 20000830|

FD- WO 200219236 A1 G06F-017/60

<DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

<DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

FD- AU 200187216 A G06F-017/60 Based on patent WO 200219236|

LA- WO 200219236(E<PG> 53)|

DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW|

DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE; IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZW|

AB- <PN> WO 200219236 A1|

AB- <NV> NOVELTY - The method involves a seller identifying a commodity to a portal's operator. An asset recovery strategy is determined for the commodity. The commodity is offered for sale on the portal in accordance with the asset recovery strategy.

The seller is referred to the portal's operator by a third party that is selling another commodity to the seller.|

AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for a networked asset recovery portal coupled to multiple client terminals in which a commodity is traded from a seller to a purchaser.

USE - For asset recovery maximization.

ADVANTAGE - Creates **new** customers for components **manufactures** thereby shifting **commodity** ' based business to one with extremely large value added services offerings.

DESCRIPTION OF DRAWING(S) - The figure shows a process for operating a **networked** asset recovery **exchange** .

pp; 53 DwgNo 2/16|

DE- <TITLE TERMS> OPERATE; METHOD; RECOVER; PORTAL; COMMODITY; PURCHASE; PORTAL; RECOVER; MAXIMISE; IDENTIFY; COMMODITY; PORTAL; OPERATE; DETERMINE; RECOVER; STRATEGY|

DC- T01|

IC- <MAIN> G06F-017/60|

MC- <EPI> T01-N01A2E|

FS- EPI||

12/4/2 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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IM- \*Image available\*

AA- 2001-408720/200143|

XR- <XRPX> N01-302452|

TI- Effecting transactions in agriculture related marketplace for implementing commodity transactions within **network** environment by displaying offers to **sell** and offers to **buy** to **buyers** and **sellers** over communication **network** |

PA- NET HORSEPOWER INC (NETH-N); ALLEN J L (ALLE-I); FRIEND D B (FRIE-I); FRIEND R K (FRIE-I); GREENELSH J M (GREE-I); ISHAM D J (ISHA-I)|

AU- <INVENTORS> ALLEN J L; FRIEND D B; FRIEND R K; GREENELSH J M; ISHAM D J |

NC- 083|

NP- 003|

PN- WO 200146774 A2 20010628 WO 2000US34890 A 20001221 200143 B|

PN- AU 200125894 A 20010703 AU 200125894 A 20001220 200164

PN- US 20010032165 A1 20011018 US 99171684 A 19991221 200166

<AN> US 2000747329 A 20001221|

AN- <LOCAL> WO 2000US34890 A 20001221; AU 200125894 A 20001220; US 99171684 A 19991221; US 2000747329 A 20001221|

AN- <PR> US 99171684 P 19991221; US 2000747329 A 20001221|

FD- WO 200146774 A2 G06F-000/00

<DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

FD- AU 200125894 A G06F-000/00 Based on patent WO 200146774

FD- US 20010032165 A1 G06F-017/60 Provisional application US 99171684|

LA- WO 200146774 (E<PG> 64) |

DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW|

AB- <PN> WO 200146774 A2|

AB- <NV> NOVELTY - A first multiplicity of offers to sell and second multiplicity of offers to buy may be displayed to the community of **buyers** and **sellers** over the communication **network** . At least one transaction is executed between a buyer and a seller with respect to a particular item, by a buyer's making an electronic indication on a respective posted offer to sell for that particular item.|

AB- <BASIC> DETAILED DESCRIPTION - An INDEPENDENT CLAIM is included for:

(a) a system for effecting efficient electronic transactions in agricultural marketplace

USE - For electronic commodity trading.

ADVANTAGE - **Improved data processing** based systems for implementing **commodity** transactions within a network environment. Smaller growers or vendors would be able to gain access to 'best practice' systems and current trading data, allowing them to command higher prices for their output. Allows buyers and sellers to lessen, or even eliminate, their reliance upon middlemen such as brokers, while further enabling sellers to reach a vastly larger market. Sellers would no longer be limited to selling their output to only as many entities as their sales staff is able to directly contact.

DESCRIPTION OF DRAWING(S) - The drawing is a simplified block level diagram of the structural and functional organization of the activities supported by a trading platform in accordance with the practice and principles of the present invention.

pp; 64 DwgNo 5/12|

DE- <TITLE TERMS> EFFECT; TRANSACTION; AGRICULTURE; RELATED; IMPLEMENT; COMMODITY; TRANSACTION; NETWORK; ENVIRONMENT; DISPLAY; OFFER; SELL; OFFER; BUY; BUY; COMMUNICATE; NETWORK|

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DC- T01; T05|  
IC- <MAIN> G06F-000/00; G06F-017/60|  
MC- <EPI> T01-H07C5; T01-H07C5E; T01-H07C5S; T01-J05A1; T05-L01D|  
FS- EPI||

12/4/3 (Item 1 from file: 347)

FN- DIALOG(R)File 347:JAPIO|  
CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|  
TI- AUCTION MALL SYSTEM  
PN- 2002-024622 -JP 2002024622 A-  
PD- January 25, 2002 (20020125)  
AU- OTSUBO MASATOSHI  
PA- GOORANDO KK  
AN- 2000-208423 -JP 2000208423-  
AN- 2000-208423 -JP 2000208423-  
AD- July 10, 2000 (20000710)  
G06F-017/60  
AB- PROBLEM TO BE SOLVED: To provide an auction mall system realizing an easy personal auction trade while securing the reliability and soundness of the auction and providing rich commodity variety by a real specialty shop not being a virtual shop only on the Internet alone. SOLUTION: The auction headquarter server 1 of an auction headquarter integrally controlling a plurality of internet auction sites performing auction of goods on the Web is connected to an auction franchises server 2 of a franchisee franchised by the auction headquarter for managing an auction via a network. The auction headquarter server is provided with Web contents, which has an auction mall homepage linked with Web contents of auction mounted on the auction franchises server for integrally introducing a plurality of auctions, and member database registering and controlling a basic attribute of an auction participant and an identification code given to each participant. COPYRIGHT: (C)2002,JPO

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?t19/4/all

19/4/1 (Item 1 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2003-164307/200316|

TI- System and method for making payment by using bank account of payment postponement|

PA- RA B H (RABH-I) |

AU- <INVENTORS> RA B H|

NC- 001|

NP- 001|

PN- KR 2002071144 A 20020912 KR 200111097 A 20010305 200316 B|

AN- <LOCAL> KR 200111097 A 20010305|

AN- <PR> KR 200111097 A 20010305|

LA- KR 2002071144(1) |

AB- <PN> KR 2002071144 A|

AB- <NV> NOVELTY - A payment system and method is provided to enable a buyer to place a purchase order and at the same time, make payment by using a transfer from a buyer's account to a seller's account, a payment postponement account from which the seller can not withdraw a money for a period so that it makes it easy for the buyer to request a repayment, a settlement or a payment suspension in a case that a delivered **commodity** or a service is abnormal.|

AB- <BASIC> DETAILED DESCRIPTION - The method comprises steps of a buyer searching for a desired **commodity** in an electronic commerce site, transmitting a purchase order for a searched **commodity** to the electronic commerce site, and making payment for the purchased **commodity** (401), the site displaying order specifications and offering a web window for enabling the buyer to input the buyer data, delivery destination data and a payment method(402), a buyer terminal transmitting the **buyer** data, the destination data and **seller** data to an account payment **server** if the buyer selects an account payment method(403), the account payment server extracting the name of the site and an account number, and transmitting a web page for inputting a **buyer ID** and an account **secret** number to the **buyer** terminal(404), the **buyer** inputting the **buyer ID** and the account **secret** number on the web page, and the account payment server checking a balance of the account(405), the account payment server transferring the purchase price from the buyer account to the electronic commerce site account which is a payment postponement account(406), the account payment server transmitting an account transfer process result to the buyer terminal(407), the account payment server transmitting ordered data to the site(408), the buyer requesting a repayment to the account payment server if a delivered **commodity** is abnormal(410), the account payment server requesting detailed data to the site(411), the site transmitting the requested detailed data to the account payment server(412), the account payment server taking a repayment, a settlement or a payment suspension based on the collected data(413), and the account payment server transmitting a taken action to the buyer via an e-mail(414).

pp; 1 DwgNo 1/10|

DE- <TITLE TERMS> SYSTEM; METHOD; PAY; BANK; ACCOUNT; PAY; POSTPONE|

DC- T01|

IC- <MAIN> G06F-017/60|

MC- <EPI> T01-N01A1; T01-N01A2A; T01-N02B1B|

FS- EPI||

19/4/2 (Item 2 from file: 350)

DIALOG(R) File 350:Derwent WPIX

Search Report from Ginger D. Roberts

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*  
AA- 2002-720821/200278|  
TI- Auction method for direct transaction with deferred payment of  
commission|  
PA- WAA WAA.COM CO LTD (WAAW-N)|  
AU- <INVENTORS> YANG S C|  
NC- 001|  
NP- 001|  
PN- KR 2002041538 A 20020603 KR 200071179 A 20001128 200278 B|  
AN- <LOCAL> KR 200071179 A 20001128|  
AN- <PR> KR 200071179 A 20001128|  
LA- KR 2002041538(1)|  
AB- <PN> KR 2002041538 A|  
AB- <NV> NOVELTY - An auction method for a direct transaction with deferred  
payment of commission is provided to save a time and an effort of a  
member who uses an auction site by performing a direct transaction for  
processing a **commodity** and price between a seller and a buyer rapidly  
and performing a deferred payment of an auction commission.|  
AB- <BASIC> DETAILED DESCRIPTION - An auction system includes at least one  
user computers(12-1,..., 12-n), at least one affiliated  
concerns(14-1,..., 14-n), and an auction site(21), and the elements  
have an environment capable of performing an interactive communication  
through the Internet(13). The user computers(12-1,..., 12-n) have a  
modem, connect to the Internet(13) using a telephone line or a **private**  
line, connect to the **auction** site(21) using a conventional **web**  
browser, and may use a service for selling or buying a **commodity**. The  
affiliated concerns(14-1,..., 14-n) supply at least one **commodity**  
cooperated with the auction site(21). The auction site(21) manages  
information of an individual member and the affiliated concern in the  
auction system and **commodity** information, and performs a smooth  
auction action between a **commodity** seller and a buyer. The auction  
site(21) is linked with a credit evaluation system(22) and checks  
personal information and a credit state of a user. If a normal user  
registers a **commodity**, the auction site(21) executes an auction. If a  
**commodity** is bid successfully, an auction result is informed to a  
**commodity** registrant. If an amount of commission levied in a cyber  
account per a predetermined period is more than a predetermined amount,  
the commission is charged in a mobile telephone company(24) or a credit  
card company(25).  
pp; 1 DwgNo 1/10|  
DE- <TITLE TERMS> AUCTION; METHOD; DIRECT; TRANSACTION; DEFER; PAY;  
COMMISSION|  
DC- T01|  
IC- <MAIN> G06F-017/60|  
MC- <EPI> T01-J05A|  
FS- EPI||

19/4/3 (Item 3 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*  
AA- 2002-401025/200243|  
TI- Basket gift dealing system|  
PA- KANG O H (KANG-I)|  
AU- <INVENTORS> KANG O H|  
NC- 001|  
NP- 001|  
PN- KR 2001112819 A 20011222 KR 200033089 A 20000615 200243 B|  
AN- <LOCAL> KR 200033089 A 20000615|

Search Report from Ginger D. Roberts

AN- <PR> KR 200033089 A 20000615|  
 LA- KR 2001112819(1)|  
 AB- <PN> KR 2001112819 A|  
 AB- <NV> NOVELTY - A basket gift dealing system is provided to realize an optimal investment data, dealing time point, and mock investment by forming a dealing market of an incidental **commodity** . |  
 AB- <BASIC> DETAILED DESCRIPTION - A financial agency and a private investor access customer terminal(13). A gift dealing system members access mock investment terminal(12) for a mock investment. A gift exchange terminal(11) is connected to a gift **exchange** . A gift dealing **server** (10) is connected to the private investor access customer terminal(13), the mock investment terminal(12), and the gift **exchange** terminal(11) and controls the **private** investor access customer terminal(13), the mock investment terminal(12), and the gift **exchange** terminal(11). The gift dealing **server** (10) includes a virtual gift dealing server(101) for performing 24 hours mock investment, an investment strategy server(102) for providing an optimal investment information and managing a gift fund, and a gift operating server(103) for controlling the virtual gift dealing server(101) and the investment strategy server(102).  
 pp; 1 DwgNo 1/10|  
 DE- <TITLE TERMS> BASKET; GIFT; DEAL; SYSTEM|  
 DC- T01|  
 IC- <MAIN> G06F-017/60|  
 MC- <EPI> T01-J05A|  
 FS- EPI||

19/4/4 (Item 4 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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IM- \*Image available\*  
 AA- 2002-205703/200226|  
 XR- <XRAM> C02-062984|  
 XR- <XRPX> N02-156672|  
 TI- Computer **network** based system for conducting liquid **exchange** in discreet segment of **commodity** goods market comprises market participants, network access device, computer **network** , and electronic product **trading** center|  
 PA- EASTMAN CHEM CO (EACH ); BOWEN S A (BOWE-I); CALDWELL J K (CALD-I); LETTICH A R (LETT-I); TAMBAY R (TAMB-I)|  
 AU- <INVENTORS> CALDWELL J; LETTICH A; TAMBAY R; BOWEN S A; CALDWELL J K; LETTICH A R|  
 NC- 095|  
 NP- 004|  
 PN- WO 200177965 A2 20011018 WO 2001US11617 A 20010410 200226 B|  
 PN- AU 200151500 A 20011023 AU 200151500 A 20010410 200226  
 PN- US 20020026403 A1 20020228 US 2000195778 P 20000410 200241  
 <AN> US 2000202752 P 20000508  
 <AN> US 2001829529 A 20010410  
 PN- EP 1277150 A1 20030122 EP 2001924888 A 20010410 200308  
 <AN> WO 2001US11617 A 20010410|  
 AN- <LOCAL> WO 2001US11617 A 20010410; AU 200151500 A 20010410; US 2000195778 P 20000410; US 2000202752 P 20000508; US 2001829529 A 20010410; EP 2001924888 A 20010410; WO 2001US11617 A 20010410|  
 AN- <PR> US 2000202752 P 20000508; US 2000195778 P 20000410; US 2001829529 A 20010410|  
 FD- WO 200177965 A2 G06F-017/60  
 <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

<DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS  
 LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

FD- AU 200151500 A G06F-017/60 Based on patent WO 200177965

FD- US 20020026403 A1 G06F-017/60 Provisional application US 2000195778  
 Provisional application US 2000202752

FD- EP 1277150 A1 G06F-017/60 Based on patent WO 200177965

<DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV  
 MC MK NL PT RO SE SI TR|

LA- WO 200177965(E<PG> 32); EP 1277150(E)|

DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE  
 DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC  
 LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI  
 SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW|

DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;  
 LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR; EA; GH; GM; KE; LS; MW; MZ;  
 OA; SD; SL; SZ; TZ; UG; ZW|

AB- <PN> WO 200177965 A2|

AB- <NV> NOVELTY - Computer network based system comprises market  
 participants in the market segment, a network access device accessible  
 to the market participants, a computer network connected to the  
 computer **network**, and an electronic product **trading center**  
 connected to the computer **network**. |

AB- <BASIC> DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included  
 for a process for conducting a liquid exchange between several  
 participants in a discreet segment of a **commodity** goods market, which  
 comprises identifying a standard product in the segment, creating a  
 standard contract for the liquid exchange of the standard product, and  
 consummating the exchange between the participants.

USE - The system is used for facilitating transactions in a  
**commodity** marketplace, particularly for conducting a liquid exchange  
 in a discreet segment of a **commodity** goods market, e.g. the plastics  
**commodity** market.

ADVANTAGE - The inventive system may be implemented as a  
 business-to-business e-commerce site on the world wide **web**. The  
**trading center** provides increased liquidity for **commodity** goods, or  
 provides a location for qualified buyers and sellers of **commodity**  
 goods to congregate and consummate transactions, thus facilitating  
 finding sellers during times of high demand for **commodities** and  
 finding buyers during times of high supply of **commodities**. It allows  
**commodities** to be bought and sold, e.g. on a spot basis, on a  
 continuous basis. It provides lower transaction costs, access to  
 consistent quality vendors and products, reliability of supply, lower  
 required inventory positions, better cash management, and long-term  
 price stability through accurate business intelligence information. It  
 also generates real-time performance metrics for suppliers and buyers  
 in the industry; and collects, collates, and generates more accurate  
 industry supply/demand information and trend information. It  
 establishes, provides, and/or assists in the implementation of industry  
 standards in areas including complaint systems, terms and conditions of  
 sale, payment, financing terms, and shipping details. The combination  
 of liquidity and transparent pricing information reduces the premiums  
 associated with derivative instruments.

DESCRIPTION OF DRAWING(S) - The figure is a block diagram that  
 provides an overview of the polymer **commodity** market segment supply  
 chain.

pp; 32 DwgNo 2/5|

AB- <TF> TECHNOLOGY FOCUS - POLYMERS - Preferred System: The market segment  
 comprises a sub-segment of the **commodity** polymers segment of the  
 plastics industry. The sub-segment comprises at least one of  
 low-density polyethylene, a linear low-density polyethylene, a  
 high-density polyethylene, a polypropylene, a polystyrene, an  
 acrylonitrile-styrene-butadiene, and/or a polyethylene terephthalate  
 sub-segment.



COMPUTING AND CONTROL - Preferred System: The market participants comprise a purchaser and a seller. They further comprise a feedstock producer, an additive producer, a **commodity** polymer producer, a compounder, a converter, a broker, a recycler, a distributor, an end user, and a service provider. The network access device comprises at least one of a telephone, a cellular-capable device, a personal digital assistant, and a computer. The computer network comprises the world-wide web ( **Internet** ). The electronic product **trading** center comprises a **database** , an executant connected to the database, and a user interface connected to the executant. The database comprises a Microsoft SQLServer database, and/or a document repository comprising a Microsoft Index Server document repository. The executant comprises at least one of a Microsoft Active Server Pages (ASP) program, a java server pages (JSP) program, and a compiled program executing under the control of an object request brokering application. The user interface comprises a document created using a document markup language. The system further comprises an electronic **private trading** room, an electronic **exchange trading** floor, an electronic store front, an electronic processor preference center, an electronic sample request and delivery center, and ancillary devices including a global logistics solutions support system, an electronic capacity exchange for product and capacity swaps, a professional development service, a financial service, and a comprehensive industry information service. The system may also include a mechanism to create a derivatives market accompanying the **commodities** market. Preferred Process: The process further comprises conducting an **exchange** in an electronic **private trading** room, conducting an **exchange** on electronic exchange trading floor, conducting an exchange in an electronic store front, and collecting a preference from the participants in an electronic processor preference center. It also comprises receiving a request for a sample in an electronic sample request and delivery center (SRDC), processing the request, and shipping the sample. It also includes providing ancillary services. The standard contract is a forward contract. The process further comprises creating a derivatives market accompanying the **commodities** market, which may involve creating a standard synthetic instrument.

DE- <TITLE TERMS> COMPUTER; NETWORK; BASED; SYSTEM; CONDUCTING; LIQUID;  
EXCHANGE; SEGMENT; **COMMODITY** ; GOODS; MARKET; COMPRISE; MARKET;  
PARTICIPATING; NETWORK; ACCESS; DEVICE; COMPUTER; NETWORK; ELECTRONIC;  
PRODUCT; TRADE|  
DC- A35; T01|  
IC- <MAIN> G06F-017/60|  
MC- <CPI> A11-C|  
MC- <EPI> T01-J05A|  
FS- CPI; EPI||

19/4/5 (Item 5 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

AA- 2002-088180/200212|  
TI- System for processing on - line bid business capable of keeping  
**secret** at high reliability and certification|  
PA- KOREA ELECTRONICS & TELECOM RES INST (KOEL-N)|  
AU- <INVENTORS> JU G J; YOON S H|  
NC- 001|  
NP- 001|  
PN- KR 2001076762 A 20010816 KR 20004117 A. 20000128 200212 B|  
AN- <LOCAL> KR 20004117 A 20000128|  
AN- <PR> KR 20004117 A 20000128|  
AB- <PN> KR 2001076762 A|  
AB- <NV> NOVELTY - A system for processing an on -line bid business

capable of keeping a **secret** at high reliability and a certification is provided to secure a stability equal to a process of a handing bid business and to prevent a consultation of persons who wish to receive an order and reduce a cost and a time by testifying the third party of a definite **commodity** limitation, a **secret** maintenance of a **bid** document, a verifying of a reliability of a contractor, and a contract.

AB- <BASIC> DETAILED DESCRIPTION - In an **on - line bid** business processing system including a **web** client and a **bid server**, the **bid server** receives a purchase requesting document from a web client of an ordering person. In addition, the **bid server** prepares a public notification document of a bid and publicizes the document, and receives a **bid** participating application form of the **web** client of the ordering person, and selects a successful bidder and issues a successful bid notifying document.

DwgNo 0/0|

DE- <TITLE TERMS> SYSTEM; PROCESS; LINE; BID; BUSINESS; CAPABLE; KEEP; SECRET; HIGH; RELIABILITY; CERTIFY|

DC- T01|

IC- <MAIN> G06F-017/60|

MC- <EPI> T01-J05A|

FS- EPI||

19/4/6 (Item 6 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2001-459009/200150|

XR- <XRPX> N01-340325|

TI- Anonymous **trading** method of securities over crossing **network**, involves generating synthetic profile and matching it with contra side profile for facilitating a trade|

PA- OPTIMARK INC (OPTI-N)|

AU- <INVENTORS> ATCHISON D; FABISZAK C M; LUPIEN W A; RICHARD J T; SMIGEL M

NC- 026|

NP- 001|

PN- EP 1118953 A2 20010725 EP 2001200200 A 20010119 200150 B|

AN- <LOCAL> EP 2001200200 A 20010119|

AN- <PR> US 2000489769 A 20000121|

FD- EP 1118953 A2 G06F-017/60

<DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR|

LA- EP 1118953(E<PG> 70)|

DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR|

AB- <PN> EP 1118953 A2|

AB- <NV> NOVELTY - Buy and sell orders in the form of profiles including one price and quantity coordinate that associates a preference value, are received for a particular security. A synthetic profile generated from available quantities in the profiles at each price and the preference value, is matched with a contra side profile for facilitating a trade.|

AB- <BASIC> USE - For anonymous trading of securities including assets such as futures, derivatives, options, bonds, currencies, **commodities**, insurance contracts, etc., over anonymous and **confidential** crossing **network** that matches **buy** and **sell** orders of traders or institutional investors. Also for trading media time, airline tickets, concert tickets, electronic components or any contract for goods or services.

ADVANTAGE - Allows traders to input satisfaction density profile

Search Report from Ginger D. Roberts

and maximum size limit which characterizes trader's degree of satisfaction to trade at any and all prices and sizes, and that matches orders, hence each trader is assured that the overall outcome of process in terms of average size and price, has maximized the mutual satisfaction of all traders.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of anonymous matching system.

pp; 70 DwgNo 1/25|

DE- <TITLE TERMS> TRADE; METHOD; SECURE; CROSS; NETWORK; GENERATE;  
SYNTHETIC; PROFILE; MATCH; CONTRA; SIDE; PROFILE; FACILITATE; TRADE|  
DC- T01|  
IC- <MAIN> G06F-017/60|  
MC- <EPI> T01-J05A|  
FS- EPI||

19/4/7 (Item 7 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2000-686644/200067|

XR- <XRPX> N00-507695|

TI- Encrypted electronic envelope transmission for trade transaction confirmation, involves generating envelope with encrypted confirmation documents based on password and trade details data of subscriber|

PA- POSTX CORP (POST-N)|

AU- <INVENTORS> SAHASRABUDDHE U; VENKATRAMAN R C; WARTY A|

NC- 090|

NP- 003|

PN- WO 200046729 A2 20000810 WO 2000US3278 A 20000208 200067 B|

PN- AU 200034854 A 20000825 AU 200034854 A 20000208 200067

PN- US 6477647 B1 20021105 US 99247117 A 19990208 200276|

AN- <LOCAL> WO 2000US3278 A 20000208; AU 200034854 A 20000208; US 99247117  
A 19990208|

AN- <PR> US 99247117 A 19990208|

FD- WO 200046729 A2 G06F-017/60

<DS> (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE  
DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK  
LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL  
TJ TM TR TT UA UG UZ VN YU ZA ZW

<DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS  
LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

FD- AU 200034854 A G06F-017/60 Based on patent WO 200046729|

LA- WO 200046729(E<PG> 38)|

DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM  
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS  
LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
TR TT UA UG UZ VN YU ZA ZW|

DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;  
IT; KE; LS; LU; MC; MW; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW|

AB- <PN> WO 200046729 A2|

AB- <NV> NOVELTY - Confirmation mailer subsystem (34) parses and imports the required data from the OTC database (28) to an envelope server database (36). Envelope server (38) generates a trade confirmation document using the data parsed and imported from the database (28). The confirmation document is included in a secure electronic envelope (40) which is transmitted to the subscriber station (22).|

AB- <BASIC> DETAILED DESCRIPTION - Password and trade details data of the customer are stored in a OTC database (28). The electronic envelope (40) is transmitted to the subscriber station (22) over a public internet or private network. The password data is supplied to the database (28) of the OTC from the subscriber. The trade details data is

based on information of each trade performed by the subscriber. The electronic envelope comprises the e-mail address of the customer. An INDEPENDENT CLAIM is also included for electronic trade confirmation system.

USE - For confirming trade transactions by transmitting secure electronic envelopes containing trade confirmations via the internet or private network to customer's e-mail address to buy and sell stocks, bonds, mutual funds or other commodities.

ADVANTAGE - Since trade confirmations are transmitted via public internet or private network in a secure and confidential manner, the system is cost efficient and the postage and printing cost associated with mailing hardcopy confirmations is reduced.

DESCRIPTION OF DRAWING(S) - The figure illustrates the hardware configuration of online trade confirmation system.

Subscriber station (22)

OTC database (28)

Confirmation mailer subsystem (34)

Envelope server database (36)

Envelope server (38)

Electronic envelope (40)

pp; 38 DwgNo 2/20|

DE- <TITLE TERMS> ENCRYPTION; ELECTRONIC; ENVELOPE; TRANSMISSION; TRADE;  
TRANSACTION; CONFIRM; GENERATE; ENVELOPE; ENCRYPTION; CONFIRM; DOCUMENT  
; BASED; PASSWORD; TRADE; DETAIL; DATA; SUBSCRIBER|

DC- T01|

IC- <MAIN> G06F-001/24; G06F-017/60|

MC- <EPI> T01-J05A2; T01-J05B4P|

FS- EPI||

19/4/8 (Item 1 from file: 347)

FN- DIALOG(R)File 347:JAPIO|

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|

TI- ELECTRONIC AUCTION SYSTEM, AUCTION SERVER, COMPUTER PROGRAM AND  
RECORD MEDIUM

PN- 2002-074077 -JP 2002074077 A-

PD- March 12, 2002 (20020312)

AU- HONMA NORIKO

PA- SGS KK

AN- 2001-054791 -JP 20011054791-

AN- 2001-054791 -JP 20011054791-

AD- February 28, 2001 (20010228)

G06F-017/60; G06F-013/00; G06F-015/00

AB- PROBLEM TO BE SOLVED: To provide an electronic auction site, capable of reducing the occasions of being swindled, and moreover to smoothly buy and sell commodities. SOLUTION: This electronic auction system includes an electronic auction server for operating a bulletin board for mediating buying and selling of commodities, a commodity provider for accessing to the auction server via a network and showing the commodity to be auctioned on the bulletin board, and a commodity purchaser for accessing to the auction server via the network to bid the commodity to be auctioned shown on the bulletin board and purchase it. The bulletin board is formed by a private bulletin board and a general bulletin board, only the bona fide member can participate in the private bulletin board, and only the commodity to be auctioned, for which buying and selling is not concluded in the private bulletin board is presented on the general bulletin board. COPYRIGHT: (C)2002,JPO

19/4/9 (Item 2 from file: 347)

FN- DIALOG(R)File 347:JAPIO|

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|

Search Report from Ginger D. Roberts

TI- INSURANCE AUCTION ON INTERNET

PN- 2001-202473 -JP 2001202473 A-

PD- July 27, 2001 (20010727)

AU- YAMAMOTO RYUICHI

PA- YAMAMOTO RYUICHI

AN- 2000-046609 -JP 200046609-

AN- 2000-046609 -JP 200046609-

AD- January 19, 2000 (20000119)

G06F-019/00; G06F-017/60

AB- PROBLEM TO BE SOLVED: To establish an insurance mediation market on the Internet for enabling each of individuals (including juristic persons) present the insurance whose contents are freely proposed to some extent to auction in accordance with the individual risk circumstances and also enabling everyone to freely subscribe and accept the market to some extent, thereby to establish a market having higher reliance than the conventional **commodity auction** on the **Internet** and also to establish the similar markets about the student loans, debt and credit of money and loans. SOLUTION: In addition to a homepage technique of the conventional **commodity auction**, various methods are available including a credit treatment (a method that uses a specific pattern that can be applied only by a manager in a page and ensures the truth/personality of a part that is modified/ **hidden** by the pattern in the **auction data** ). COPYRIGHT: (C)2001,JPO

?

20/4/3 (Item 1 from file: 347)

FN- DIALOG(R)File 347:JAPIO|

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|

TI- AUCTION MALL SYSTEM

PN- 2002-024622 -JP 2002024622 A-

PD- January 25, 2002 (20020125)

AU- OTSUBO MASATOSHI

PA- GOORANDO KK

AN- 2000-208423 -JP 2000208423-

AN- 2000-208423 -JP 2000208423-

AD- July 10, 2000 (20000710)

G06F-017/60

AB- PROBLEM TO BE SOLVED: To provide an auction mall system realizing an easy personal auction trade while securing the reliability and soundness of the auction and providing rich **commodity** variety by a real **specialty** shop not being a virtual shop only on the Internet alone. SOLUTION: The auction headquarter server 1 of an auction headquarter integrally controlling a plurality of internet auction sites performing auction of goods on the Web is connected to an auction franchises server 2 of a franchisee franchised by the auction headquarter for managing an auction via a network. The auction headquarter server is provided with Web contents, which has an auction mall homepage linked with Web contents of auction mounted on the auction franchises server for integrally introducing a plurality of auctions, and member database registering and controlling a basic attribute of an auction participant and an identification code given to each participant. COPYRIGHT: (C)2002,JPO

20/4/4 (Item 2 from file: 347)

FN- DIALOG(R)File 347:JAPIO|

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|

TI- AUTOMATIC MAINTENANCE SYSTEM FOR COMMODITY MASTER

PN- 09-180056 -JP 9180056 A-

PD- July 11, 1997 (19970711)

AU- YAITA SHIGEO; NISHIYAMA TAKEHIKO

PA- HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan);

HITACHI SOFTWARE ENG CO LTD [472485] (A Japanese Company or Corporation), JP (Japan)

AN- 07-336451 -JP 95336451-

AN- 07-336451 -JP 95336451-

AD- December 25, 1995 (19951225)

IC- -6- G07G-001/12; G06F-017/60; G07G-001/14

CL- 29.4 (PRECISION INSTRUMENTS -- Business Machines); 45.4 (INFORMATION PROCESSING -- Computer Applications)

AB- PROBLEM TO BE SOLVED: To eliminate waste for new **commodity** information registration at a **specialty** store and speedily complete the **commodity** master by registering new **commodity** information from a **specialty** store which obtain the new **commodity** information early.

SOLUTION: A **specialty** store A inputs new **commodity** information from an input part 3 by using the processing part 4 of a POS system (a) and registers it in a store commodity master and a new commodity information transmission file. The host computer 9 at a processing center generates a store-classified new commodity information transmission file from a commodity master and a POS information management master on the basis of the new **commodity** information sent from the **specialty** store A and sends it to respective specialty stores. The POS system of a specialty store B to which the file is sent performs the registration to the commodity master on the basis of the store-classified new commodity information transmission file.

Search Report from Ginger D. Roberts

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April 8, 2003 2 14:19

Search Report from Ginger D. Roberts

?t22/4/3,4,5,8,9,22,

22/4/3 (Item 3 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2003-101083/200309|

TI- Method and system for performing auction over internet |

PA- LIM S S (LIMS-I)|

AU- <INVENTORS> LIM S S|

NC- 001|

NP- 001|

PN- KR 2002063080 A 20020801 KR 20013832 A 20010126 200309 B|

AN- <LOCAL> KR 20013832 A 20010126|

AN- <PR> KR 20013832 A 20010126|

LA- KR 2002063080(1)|

AB- <PN> KR 2002063080 A|

AB- <NV> NOVELTY - An internet auction method and system is provided to offer various types of auction services, such as a lottery auction, a multiple price auction, a hidden card auction, a discount auction, a chatting auction, a group auction as well as a general auction so that it can widen a range of a user selection, increase a user satisfaction and activate an internet auction. |

AB- <BASIC> DETAILED DESCRIPTION - The method comprises steps of an auction seller registering an article for sale at an auction service site by inputting data needed for a registration(401), a bidder selecting a desired auction type while inputting necessary data(402), the auction service site closing the auction procedure(403, 404), the service site checking if the auction is successful, in a case of a successful auction, determining an auction winner and a winning price, noticing the auction result, and notifying the seller and the winner of the auction result via an e-mail(405, 406), the winner requesting a delivery of the article while remitting corresponding money to an account of the service site, and the service site notifying the remittance of the seller via an e-mail(407), the seller confirming the remittance and delivering the article to the winner, and the winner checking the delivered article(408), and the service site paying the article to the seller(409). In the lottery auction, the service site designates a bidder to a group, draws a lottery for determining one group, and determines as an auction winner the highest price bidder among the group. In the multiple price auction, the bidder offers a multi-stepped price, and the service site determines as an auction winner the highest price bidder at the last step. In the hidden card auction, the service site allows a bidder to participate in the auction once more, and enables the bidder to hide the bidding price and open the price at the last step. In the discount auction, the service site discounts the winning price by a certain ratio. In the discount auction, the service site enables the bidders to chat with other bidders. In the group auction, the service site designates a discount ratio for a bidder group according to the bid amount, and determines as an auction winner the highest price bidder among the group.

pp; 1 DwgNo 1/10|

DE- <TITLE TERMS> METHOD; SYSTEM; PERFORMANCE; AUCTION|

DC- T01|

IC- <MAIN> G06F-017/60|

MC- <EPI> T01-J05A|

FS- EPI||

22/4/4 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.



Search Report from Ginger D. Roberts

IM- \*Image available\*  
AA- 2003-063545/200306|  
XR- <XRPX> N03-049375|  
TI- **Delivery** service identification system for internet, transmits finalization data of **delivery** containing buyer authentication to host computer, when report password from buyer terminal matches with registration password|  
PA- NIPPON TSUUN KK (NITS-N)|  
NC- 001|  
NP- 001|  
PN- JP 2002288292 A 20021004 JP 200189047 A 20010327 200306 B|  
AN- <LOCAL> JP 200189047 A 20010327|  
AN- <PR> JP 200189047 A 20010327|  
LA- JP 2002288292(8)|  
AB- <PN> JP 2002288292 A|  
AB- <NV> NOVELTY - A bar code reader of a communication terminal (20) reads encoded invoice data and password data printed on the label (12) and displays invoice number and registration password on a display section. A controller produces and transmits finalization data of **delivery** containing buyer authentication, to a host computer (15), when a report password input from a buyer terminal (2) matches with a registration password.|  
AB- <BASIC> USE - For identifying **delivery** service of cargoes such as foreign currency, valuables, secrecy goods, **private** goods from **seller to buyer through internet**.  
ADVANTAGE - Enables identifying the recipient of a **delivery** cargo reliably and improves customer service remarkably from the seller.  
DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the **delivery** service identification system. (Drawing includes non-English language text).  
Buyer terminal (2)  
Label (12)  
Host computer (15)  
Communication terminal (20)  
pp; 8 DwgNo 1/10|  
DE- <TITLE TERMS> DELIVER; SERVICE; IDENTIFY; SYSTEM; TRANSMIT; DATA; DELIVER; CONTAIN; BUY; AUTHENTICITY; HOST; COMPUTER; REPORT; PASSWORD; BUY; TERMINAL; MATCH; REGISTER; PASSWORD|  
DC- T01; T04|  
IC- <MAIN> G06F-017/60|  
IC- <ADDITIONAL> G06K-001/12; G06K-007/00|  
MC- <EPI> T01-J05A; T04-A02; T04-A03|  
FS- EPI||

22/4/5 (Item 5 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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IM- \*Image available\*  
AA- 2002-750747/200281|  
XR- <XRPX> N02-591218|  
TI- Secure transaction provision method for financial transactions over a network e.g. **on - line banking** or **stock trading** involves registration, user license and **private** details on server|  
PA- XYLECO INC (XYLE-N)|  
AU- <INVENTORS> MEDOFF M|  
NC- 099|  
NP- 001|  
PN- WO 200284565 A1 20021024 WO 2002US11745 A 20020412 200281 B|  
AN- <LOCAL> WO 2002US11745 A 20020412|  
AN- <PR> US 2001961799 A 20010924; US 2001283571 P 20010413|

Search Report from Ginger D. Roberts

FD- WO 200284565 A1 G06F-017/60

<DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR  
CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG  
KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT  
RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW  
<DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS  
LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW|

LA- WO 200284565 (E<PG> 82) |

DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR  
KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU  
SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW|

DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;  
IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZM;  
ZW|

AB- <PN> WO 200284565 A1|

AB- <NV> NOVELTY - Secure transaction method has server that provides  
registration (204) and user license agreement forms to assure that  
client/user is qualified under securities law. When an authorized  
client/user requests **private data**, server returns cookie to client  
device. Server transmits instructions to restrict unauthorized use of  
**private data** e.g. printing. Secure data is encrypted.|

AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for  
the following;

- (1) a method of providing **private information** from a server  
over a network using user license agreement,
- (2) a method of providing **private information** from a server  
over a network with password system,
- (3) a method of providing **private information** from a server  
over the Internet,
- (4) a method of providing **private information** from a server  
over the Internet using cookies,
- (5) a method of processing a private securities transaction with  
offers on a server,
- (6) a method of processing a private securities transaction with  
offers and purchase requests,
- (7) a system for **private information delivery** over a network,
- (8) a server in a **private financial information** network,
- (9) a system for processing a private securities transaction over a  
network.

USE - For secure on-line financial transactions including brokerage  
and investment banking.

ADVANTAGE - Provides security for financial transactions over the  
Internet.

DESCRIPTION OF DRAWING(S) - The flow chart represents a secure  
transaction method.

Registration (204)  
pp; 82 DwgNo 3/12|

DE- <TITLE TERMS> SECURE; TRANSACTION; PROVISION; METHOD; FINANCIAL;  
TRANSACTION; NETWORK; LINE; BANK; STOCK; TRADE; REGISTER; USER; LICENCE  
; PRIVATE; DETAIL; SERVE|

DC- T01|

IC- <MAIN> G06F-017/60|

IC- <ADDITIONAL> H04K-001/00; H04L-009/00|

MC- <EPI> T01-D01; T01-N01A1; T01-N01A2F; T01-N02A3C; T01-N02B1B|

FS- EPI||

22/4/8 (Item 8 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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IM- \*Image available\*

Search Report from Ginger D. Roberts

AA- 2002-234692/200229|  
 TI- System and method of procuring and delivering article via internet|  
 PA- IBDIC.COM (IBDI-N)|  
 AU- <INVENTORS> KIM Y S|  
 NC- 001|  
 NP- 001|  
 PN- KR 2001099579 A 20011109 KR 200023171 A 20000429 200229 B|  
 AN- <LOCAL> KR 200023171 A 20000429|  
 AN- <PR> KR 200023171 A 20000429|  
 LA- KR 2001099579(1)|  
 AB- <PN> KR 2001099579 A|  
 AB- <NV> NOVELTY - An Internet procurement/ **delivery** service system and method is provided to save the cost in business to business commerce by efficiently carrying out inventory control, management accounting, purchasing management, material **exchange** via the **Internet** . |  
 AB- <BASIC> DETAILED DESCRIPTION - A shopping mall user(200) inputs **private information** for order in a shopping mall(205) registered into an Internet logistics support system(210). Credit card information of the user is transferred into the support system(210) for confirmation. The support system(210) confirms credit card information via a payment gateway(215), and informs authentication to the shopping mall(205). The support system(210) receives an order from the shopping mall(205) due to the order of the user(200). The support system(210) transfers order information into a supplier(225). The supplier(225) sends an article together with **delivery** information into a **delivery** company(230). The **delivery** company(230) delivers the article to the user.  
 pp; 1 DwgNo 1/10|  
 DE- <TITLE TERMS> SYSTEM; METHOD; DELIVER; ARTICLE|  
 DC- T01|  
 IC- <MAIN> G06F-017/60|  
 MC- <EPI> T01-J05A|  
 FS- EPI||

22/4/9 (Item 9 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*  
 AA- 2002-195423/200225|  
 XR- <XRPX> N02-148502|  
 TI- **On - line trading** method for value bearing instrument e.g. ticket, involves security server which stores customer **identity** password and a shared **secret** piece of **information** |  
 PA- CMA BUSINESS CREDIT SERVICES (CMAB-N)|  
 AU- <INVENTORS> OKAMOTO S A; SCHATTMAIER S M; VON KAENEL T; ZEILE M T|  
 NC- 096|  
 NP- 003|  
 PN- WO 200173644 A2 20011004 WO 2001US10520 A 20010328 200225 B|  
 PN- AU 200147921 A 20011008 AU 200147921 A 20010328 200225  
 PN- EP 1287461 A1 20030305 EP 2001920915 A 20010328 200319  
 <AN> WO 2001US10520 A 20010328|  
 AN- <LOCAL> WO 2001US10520 A 20010328; AU 200147921 A 20010328; EP 2001920915 A 20010328; WO 2001US10520 A 20010328|  
 AN- <PR> US 2000193171 P 20000329|  
 FD- WO 200173644 A2 G06F-017/60  
 <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
 <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

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FD- AU 200147921 A G06F-017/60 Based on patent WO 200173644  
FD- EP 1287461 A1 G06F-017/60 Based on patent WO 200173644  
    <DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV  
    MC MK NL PT RO SE SI TR|  
LA- WO 200173644 (E<PG> 41); EP 1287461 (E)|  
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
    DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
    LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG  
    SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW|  
DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;  
    LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR; EA; GH; GM; KE; LS; MW; MZ;  
    OA; SD; SL; SZ; TZ; UG; ZW|  
AB- <PN> WO 200173644 A2|  
AB- <NV> NOVELTY - When customer orders value bearing instrument e.g.  
    ticket, travellers checks, encrypted data is sent to secure transaction  
    server which holds customer identification, password and shared **secret**  
    piece of **information** . These **data** are used to authenticate  
    customer's order.|  
AB- <BASIC> DETAILED DESCRIPTION - The system determines if a user elected  
    to have a ticket delivered by E-mail, step 110 and the packet is  
    delivered by E-mail if so requested, step 112, otherwise it is  
    determined if a reserve option was selected, step 114, before the  
    ticket is provided to a reservation system, step 116. The system  
    determines if the user selects a generate-now option, step 118 and, if  
    selected, the ticket is transmitted to the user computer, step 120 via  
    a network.  
    AN INDEPENDENT CLAIM is included for a computer system.  
    USE - Generating a value-bearing instrument such as a coupon or  
    check etc.  
    ADVANTAGE - Secure **delivery** , exchange, forwarding and managing of  
    instruments.  
    DESCRIPTION OF DRAWING(S) - The drawing shows the process.  
    pp; 41 DwgNo 1/8|  
DE- <TITLE TERMS> LINE; TRADE; METHOD; VALUE; BEARING; INSTRUMENT; TICKET;  
    SECURE; SERVE; STORAGE; CUSTOMER; IDENTIFY; PASSWORD; SHARE; SECRET;  
    PIECE; INFORMATION|  
DC- T01; W01|  
IC- <MAIN> G06F-017/60|  
MC- <EPI> T01-D01; T01-N01A2; T01-N02A3C; T01-N02B1B; W01-A05B|  
FS- EPI||

22/4/22 (Item 1 from file: 347)

FN- DIALOG(R)File 347:JAPIO|  
CZ- (c) 2003 JPO & JAPIO. All rts. reserv.|  
TI- METHOD AND SYSTEM OF **BUYING** AND **SELLING** WITH USING MEANING  
    **INFORMATION NETWORK**  
PN- 2002-183477 -JP 2002183477 A-  
PD- June 28, 2002 (20020628)  
AU- SHIBATA HIROSHI; HOSHIAI TAKANARI; SAKAI TAKAMICHI; KOYANAGI KEIICHI  
PA- NIPPON TELEGR & TELEPH CORP (NTT)  
AN- 2000-380082 -JP 2000380082-  
AN- 2000-380082 -JP 2000380082-  
AD- December 14, 2000 (20001214)  
G06F-017/60; G06F-017/30  
AB- PROBLEM TO BE SOLVED: To improve **real time** property of **delivery** of  
    **buying** and **selling** request **information** , and safety relating to  
    **confidential information** of a candidate for **buyer** and a **seller**  
    . SOLUTION: A sending terminal sending data, and a meaning  
    information network comprising a receiving terminal provided with a  
    filter defined by a type and acquisition conditions to selectively  
    receive the data are used in this buying and selling method. The  
    method includes a first step that the sending terminal sends buying

Search Report from Ginger D. Roberts

request which designated conditions are given to as the data, a second step that the receiving terminal receiving the buying request sent as the data returns a selling request which designated conditions are given to, and a third step that the sending terminal receiving the selling request returned in the second step indicates the received selling request. COPYRIGHT: (C)2002,JPO

?

?show files;ds

File 625:American Banker Publications 1981-2003/Apr 08

(c) 2003 American Banker

File 268:Banking Info Source 1981-2003/Mar W5

(c) 2003 ProQuest Info&Learning

File 626:Bond Buyer Full Text 1981-2003/Apr 08

(c) 2003 Bond Buyer

File 267:Finance & Banking Newsletters 2003/Apr 07

(c) 2003 The Dialog Corp.

Set	Items	Description
S1	11756	COMMODITY OR COMMODITIES
S2	19142	WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM()PRODUCT? ?
S3	29879	SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE- D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR PATENT? ? OR INTELLECTUAL()PROPERTY)
S4	101928	CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG- Y?
S5	270417	TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC- HANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR - BUY?(5N)SELL? OR BID?
S6	2018	E()S5
S7	11243	(ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W- EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI- CE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8	698	CBOT OR CEA
S9	25	S1(5N)S3
S10	24	RD (unique items)
S11	1	(S6 OR S7 OR S8) AND S10
S12	572	S1(5N)S4
S13	20	S7 AND S12
S14	19886	(IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER- Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY - OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE? OR HIDDEN? OR CONFIDENTIAL?)
S15	40872	DELIVERY? OR SHIPPING OR MAILING
S16	64	S7(3S)S14(3S)S15
S17	1	S1(3S)S16
S18	48	S16 NOT PY>2000
S19	4	S13 AND PY>2000
S20	52	S18 OR S19
S21	50	RD (unique items)
?		

?t17/3,k/all

17/3,K/1 (Item 1 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
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0034265

**Track Data Will Develop Software for E.F. Hutton**  
American Banker - December 6, 1984, Thursday; Pg. 6  
WORD COUNT: 117

TEXT:

... Hutton & Co. announced this week that Track Data Corp. will develop the software for satellite **delivery** of an advanced stock quotation service to the more than 400 Hutton offices around the...

... plant will consist of Data General computers to process input from the stock, option, and **commodities exchanges**. **Real time** prices then will be broadcast over Hutton's **private** satellite network.

Track **Data**, which is based in New York, is a supplier of real time stock market data...

?

?t20/3,k/all

**20/3,K/1 (Item 1 from file: 625)**

DIALOG(R)File 625:American Banker Publications  
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0246527

**High-Net-Worth Clients Go Self-Service Route**

American Banker - March 9, 2000; Pg. 6A; Vol. 165, No. 47

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1,863

BYLINE:

By JACQUELINE S. GOLD

TEXT:

...12,000 customers actively using its Internet service, takes a different approach. The Chicago-based **company** introduced Online **Private** Passport last September and made it available to all its customers, regardless of annual income...

...of their relationship to Northern Trust. Our next wave of transaction capabilities will be an on - line **trading** product."  
**Private** banking clients cannot currently directly e-mail their private banker, but Ms. Carey said Northern...

**20/3,K/2 (Item 2 from file: 625)**

DIALOG(R)File 625:American Banker Publications  
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0244384

**Blue-Chip Financial Firms Pick AT&T Networking**

American Banker - December 15, 1999; Pg. 12; Vol. 164, No. 239

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 496

BYLINE:

By CAROL POWER

TEXT:

...new president of business services, said last week during the Bank Administration Institute's Retail **Delivery** '99 conference.

Mr. Roscitt called financial companies "big devourers of technology," a fact reflected in...

...more network efficiency in a project that was completed six months ago. Merrill wanted its **private** -line **data** infrastructure to be replaced by frame relay before it introduced **Internet** **trading** for full-service customers. It also wished to offer data and voice networks and manage...

**20/3,K/3 (Item 3 from file: 625)**

DIALOG(R)File 625:American Banker Publications  
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0230837

**Trans Union Creates Digital Certificate With Verisign's Help**

American Banker - January 21, 1999; Pg. 17; Vol. 164, No. 13

DOCUMENT TYPE: Journal      LANGUAGE: English      RECORD TYPE: Fulltext

WORD COUNT:            422

BYLINE:

By JEFFREY KUTLER

TEXT:

...certificates that authenticate parties to an on-line communication or transaction.

Other users include Automotive **Network Exchange**, an electronic data interchange system for auto makers and their suppliers, and the legal publisher West Group.

In Trans Union's case, the community of interest revolves around home banking, **on - line auction** companies and brokerages, document **delivery**

**Internet** e-mail, Web retailing, and lending. Trans Union plans to distribute digital certificates to consumers...

...be marketed to the community, which would, in theory, be confident that transactions and personal **data** would be **private** and free from tampering.

"We are able to offer Internet businesses the ability to verify...

20/3,K/4      (Item 4 from file: 625)

DIALOG(R) File 625: American Banker Publications

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0200901

**\* On-Line Banking: UPS Hopes Electronic Security System Delivers**

American Banker - June 19, 1997; Pg. 15; Vol. 162, No. 117

DOCUMENT TYPE: Journal      LANGUAGE: English      RECORD TYPE: Fulltext

WORD COUNT:            848

BYLINE:

By JEFFREY KUTLER

TEXT:

...and facsimiles.

The UPS tie, hailed by NetDox as a breakthrough, indicates how physical mail- **delivery** concepts are crossing into the virtual world-something the U.S. Postal Service also has...

...doing business, and

UPS is part of the process to bring to the Internet a **delivery** service with

the same level of trust, reliability, and security that our customers expect in other **delivery** services," said Mark Rhoney, UPS vice president of

strategic marketing. "By engaging the services of companies such as NetDox, which are developing new standards for Internet document **delivery**, we will

provide secure, instantaneous **delivery** options."

Although the Internet shows great commercial promise because of low cost and easy accessibility...

...digital certificates and public key cryptography with a centralized tracking system and notarized digital document **delivery**,

NetDox

ePackage provides the assurance businesses need to use the **Internet** as a medium for the **exchange** of **confidential information**," Mr. Haynes said.

Mr. Haynes and vice chairman Thomas J. Friedman, his NetDox co-founder

...

...NetDox would maintain comprehensive information about the transmissions and would verify message status, content, and **delivery** with digital "hashing" and other cryptographic techniques to ensure documents are not compromised.

"There will...

20/3,K/5 (Item 5 from file: 625)

DIALOG(R)File 625:American Banker Publications

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0098876

**Summary of Fed Proposal on Overdrafts**

American Banker - June 2, 1989; Pg. 4; Vol. 154, No. 107

WORD COUNT: 5,032

TEXT:

...entry overdrafts underscore the importance of introducing a policy framework to guide the development of **private** sector book-entry **delivery** -against-payments systems, in a fashion which promotes prudent risk controls and is sensitive to...

...and incentives implicit in the new risk capital guidelines to develop arrangements to supplant physical **delivery** of definitive instruments with electronic transfers and to reduce the number of transactions and the...

...risk-reduction program, the staff proposes that the board publish a policy statement applicable to **private** book-entry **delivery** -against payment systems settling on the same day over Fed Wire.

The policy statement establishes...

...S. Treasury and federal agency securities and FXNET and the Options Clearing Corporation for foreign **exchange**.

The G-10 **central** banks earlier this year completed a study of interbank netting arrangements and established an ad...

20/3,K/6 (Item 6 from file: 625)

DIALOG(R)File 625:American Banker Publications

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0034265

**Track Data Will Develop Software for E.F. Hutton**

American Banker - December 6, 1984, Thursday; Pg. 6

WORD COUNT: 117

TEXT:

... Hutton & Co. announced this week that Track Data Corp. will develop the software for satellite **delivery** of an advanced stock quotation service to the more than 400 Hutton offices around the...

... will consist of Data General computers to process input from the stock, option, and commodities **exchanges**. **Real time** prices then will be broadcast over Hutton's **private** satellite network.

Track **Data** , which is based in New York, is a supplier of real time stock market data...

20/3,K/7 (Item 1 from file: 268)  
DIALOG(R)File 268:Banking Info Source  
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00395830 68907346 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Tiptoeing toward B2B**

Fraser, Hugh

Institutional Investor, v35, n2, p87-94, Feb 2001 DOCUMENT TYPE:

Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 4,484

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... its ubiquity and transactional power. A hospital purchasing officer in Australia, say, can go to **onlineassetexchange** .com to **bid** for surplus equipment being unloaded by a medical center in Alabama. The Net's huge...

...market for bandwidth, or transmission capacity, is approaching \$1 trillion in annual turnover, but the **online trade** is just getting started. Market research and consulting firm Forrester Research of Cambridge, Massachusetts, estimates...

...exclusive financing source, serving 6,000 daily users. On January 4 San Diego, California-based **Online Asset Exchange** struck a deal with First International Bancorp, a Connecticut-based business lender, to enable online...support services.

For all of these operators, the priorities were to lower purchasing costs through **auctions** or other **online** negotiation methods and to improve the supply chain by disintermediating middlemen, strengthening communications among buyers...

...TruExchange's first customer, Canadian B2B semiconductor brokerage Dynasty Components, which plans to start an **online semiconductor exchange** sometime in the first quarter, is a natural. That, Fertile explains, is because semiconductor suppliers...

...Louise Kitchen, a trader in Enron's London office, initiated a project to move gas **trading** operations onto the **Internet** (see box, page 94). That became a platform for Enron to handle other core trading...many trades can a trader make in a minute on the fax and phone? When **trading** goes **online** the number of deals multiplies," says Garner. "Our model is different from B2B matching [of...]

...it opened Clickpaper.com, coming into direct competition with the beleaguered PaperExchange. Both offer reverse **auctions** and **online** negotiations, but Enron alone offers a way for customers to negotiate deals and hedge them...

...U.S. and in London, providing an infrastructure for switching bandwidth capacity between carriers that **buy** and **sell network** capacity.

As a big-impact player, Enron commands attention, but the company wasn't the...

...De Ferranti cobbled the first Band-X Web site together on his home computer. Today **buyers** and **sellers** physically connect their **networks** through the Band-X routed **exchange** . Last year more than \$80 million worth of bandwidth changed hands through its reverse auction...

...of options to fill gaps in their global networks. When they entered Band-X's **online exchange** in December, for example, the telecoms could

choose among such "switched minutes specials" as 42...

...risk may be greater, but so are techniques for managing it in large and liquid **online marketplaces**. Enron offers an evaluation of trade credit risk expressed as an interest rate on 10...says.

Other credit risk innovations could come out of the emerging-markets sector. DealCommodity, an **online exchange** in London, must cope with the fact that cotton producers in the former Soviet Union...

...our clients to produce documentation to support the debt. In an e-commerce scenario, the **Web site** is a **trading** place and the goods will be going from a third-party supplier to a third...

...she first told Enron Corp.'s senior traders and technical advisers about her proposal for **online natural-gas trading**. "We had a very nice meeting where they all said, \Oh yeah, that would be...

...between its November 1999 start and the end of 2000. About half the company's **trading** is now done **online**.

Houston, Texas-based Enron, a oncestaid gas-pipeline operator functioning largely in regulated energy businesses...

...majority of its \$1.3 billion of profit from continuing operations came from wholesale energy **trading** (both **online** and traditional), as well as other services that have taken it well beyond its original...

...expanding internationally and moving into power plant construction. But their breakthrough was the trading of **energyrelated commodities**. Skilling set the tone with his idea in 1988 to create a marketmaking "gas bank...site goes one step further because it puts the whole center of our business - wholesale **trading - online**." - H.F.

Hides and seeking fortunes

On any given day a million head of cattle...

20/3,K/8 (Item 2 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00392905 65420054 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Web-enabling the CMBS world**

Bergsman, Steve

Mortgage Banking, v61, n3; p74-80, Dec 2000 DOCUMENT TYPE: Periodical;

Feature LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 3,480

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... yield tables and access data about the pools from an ongoing credit-balance perspective.

"Our **delivery** mechanism to date for the data and analytics, from a secondary trading perspective, has been...

...secondary market, Gottlieb adds. The first is the perceived obstacle of security. With an ASP, **confidential information** is not stored on a **company**'s home computer, and that makes some people nervous. A lot of companies still prefer...

...work is done by each issuer's shop."

Gottlieb strongly suggests that secondary-market CMBS **trading** will eventually happen over the **Internet** and, in fact, a number of players have contacted Trepp about using its "engine" in...

20/3,K/9 (Item 3 from file: 268)

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00387361 55159401 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Conference report: 25th Annual Conference of IOSCO**

Forster, Richard

International Financial Law Review, v19, n6, p6, Jun 2000 DOCUMENT TYPE:

Periodical; News LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 975

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... package we offer to investors is worth paying for". Additionally the trend towards demutualization and **private** ownership of **exchanges**, as in host country Australia, means the motivation for those who run exchanges must be...

...regulators, the protection of investors, could not be affected by the new systems. "The regulatory **delivery** mechanism may change but the underlying value system remains the same," says Davies. A regulator...

...and tested objectives, and market confidence and consumerprotection are not changed by new systems of **delivery** and trading.

Such systems, along with changes to ownership (through demutualization), have been taken on...

...of electronic systems including electronic crossing networks (ECNs) raised complex governance issues for the US **exchanges** with their **network** of thousands of owners. Levitt says that the US system, which lacks the central electronic orderbooks...

20/3,K/10 (Item 4 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00381028 50829825 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**CUNA eSolutions helps CUs compete online**

Peterson, Ann Hayes

Credit Union Magazine, v66, n3, p42-43, Mar 2000 DOCUMENT TYPE:

Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 830

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... says. With Aquis IP, credit unions can use the speed and security of a virtual **private network** to **exchange data** with sponsors, directors, or members at the cost of regular Internet access.

\* Digital certificates, which...

...shopping options, smart cards, a system-wide VPN, and personalization tools that let members request **delivery** of individualized data via any media.

For more information on CUNA eSolutions products and services...

20/3,K/11 (Item 5 from file: 268)

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00357760 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Protecting customer confidentiality**

Riccobono, Richard M

National Mortgage News, v23, n16, p4, Jan 4, 1999 DOCUMENT TYPE: Journal  
Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract  
Fulltext  
WORD COUNT: 00846

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... addition to handling paper documents within traditional brick and mortar facilities, financial institutions may use **delivery** channels (e.g., public telephone networks and the Internet) that are partially or totally outside...

...and procedures, approved by your board of directors, that describe how you will ensure that **information** is properly protected, **confidential**, and used as agreed with the customer. This policy statement and applicable laws and regulations...

20/3,K/12 (Item 6 from file: 268)

DIALOG(R)File 268:Banking Info Source  
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00349247 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Online privacy of consumer personal information**

Anonymous

Disclosure, v15, n11, p6-8, Nov 1998 DOCUMENT TYPE: Journal Article  
ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract Fulltext  
WORD COUNT: 02532

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... bundling of financial services. Financial institutions are increasingly deploying online systems to facilitate the convenient **delivery** of services. Some financial institutions use Internet Web sites designed to collect information from consumers...

...or e-mail links. Information about consumers is also collected through inconspicuous means such as **hidden**, undisclosed electronic **information** collection methods allowing the Web site to monitor the user's visit to the site.

The FDIC understands the importance of information **exchange** on the **Internet** and the benefits for banks and consumers. However, because the dramatic pace of technological change...

20/3,K/13 (Item 7 from file: 268)

DIALOG(R)File 268:Banking Info Source  
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00317961 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Tech provider signs nonbank BDs**

Anonymous

Bank Investment Product News, v3, n30, p5, Aug 4, 1997 DOCUMENT TYPE:  
Newsletter Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE:  
Abstract Fulltext  
WORD COUNT: 00369

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... savings for the system. Cogan says that the Intranet has served as a venue for **on - line trading**, account establishment, and a place for representatives to pose questions. Cogan also said that due...

...The public side simply allows representatives to locate services and find out general information; the **private** side is exclusive and contains

**confidential** corporate and customer **information** . The **private** sites are secured systems that require a user identification and password, Friar explains.

Intranet sites...

...basically have the same issues as regular brokers, such as back office issues and information **delivery** . The cost of an extranet service can range anywhere from \$60,000 to \$250,000...

20/3,K/14 (Item 8 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00295679 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Opening the door**

Wright, Kirk

Credit Union Management, v19, n9, p38-39, Sep 1996 DOCUMENT TYPE: Journal

Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 01388

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... innovative technology and establish market position while gaining expertise with a new method of service **delivery** .

At the same time, it's important to avoid using this technology to do the...

...the network of networks" can be a vehicle for commerce. So what's keeping the **Internet** from becoming a virtual **marketplace** ? In a word: security; or, more specifically, the perception that a hacker can intercept credit...

...numbers or other personal information.

Internet security is effectively managed at two levels. Encryption scrambles **private information** using a formula known as a key. Firewalls protect servers and computer networks from unwanted...

...stake on the Internet, promises to spur universal acceptance of the network as an everyday **delivery** channel.

As a retail **delivery** channel, home banking holds as much promise as the technology gurus boldly predicted a decade...

20/3,K/15 (Item 9 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00279255 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Five major trends in banking**

Bers, Joanna Smith; Prince, Cheryl J; Sraeel, Holly

Bank Systems & Technology, v33, n1, p8-16, Jan 1996 DOCUMENT TYPE:

Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 03711

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... conversion costs do not make much of a case for networked banks to carry proprietary **data** on-line, says Finkelstein.

**Private** networks, on the other hand, are by virtue of their limited access and standard equipment...

...some of the new network technologies that are cheaper," says Mack Hicks, vp of electronic **delivery** services for San Francisco-based Bank of

America, and chairperson of Menlo Park, CA-based...

...signed-over public pipelines. The goal is to connect Lawrence Livermore with all of their **trading** partners. "We knew that [ **Internet** -based EDI] is the wave of the future, and we wanted to demonstrate that it...

20/3,K/16 (Item 10 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00268701 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Community crunch**

Hope, Kerin

Banker, v145, n833, p39-40, Jul 1995 DOCUMENT TYPE: Journal Article

LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 01273

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... would be diluted from 66% to 30% while at least 15% would be offered to **private** investors through the Athens stock **exchange** .

Plans for privatising Bank of **Central** Greece, which is 53% controlled by Agricultural Bank, are less advanced, but a rights issue...

...last year and has few bad debts. Preliminary talks have been held with the Agoudimos **shipping** group, the bank's largest **private** shareholder, which is interested in increasing its 10% stake and taking over management of the...

20/3,K/17 (Item 11 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00268286 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Business booms for Internet wannabe's**

Anonymous

Financial Technology International Bulletin, v12, n9, p7, May 1995

DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 00831

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... and data around-the-clock. 'The Internet provides the largest and most inexpensive electronic service **delivery** front-end available today,' says Herb Phillipps, vice president of Electronic ServiceWay at the bank. 'Companies that ignore this **network** and the **marketplace** it creates do so at their own peril.'

In a bid to create a full...

...the package. For each order received, Mpact will create an EDI transaction that contains payment **information** and will automatically send it to **private** payment networks for processing.

EFT outfit, ACI is also committed to the Tandem platform. 'The...

20/3,K/18 (Item 12 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00265852 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Client/server: A few basics**



Anonymous

ABA Banking Journal, v87, n6, p88-90, Jun 1995 DOCUMENT TYPE: Journal  
Article LANGUAGE: English RECORD TYPE: Abstract Fulltext  
WORD COUNT: 01285

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... them in supermarkets) with Unisys's FBA Navigator application  
framework and FBA Finesse 2000 retail **delivery** application. Microsoft  
Windows NT servers will serve the PCs over \$6.9 billion-assets Bank...

...and image report retrieval.

First National Bank of Chicago uses Hewlett-Packard 712 and 735  
**servers** and Next workstations for foreign **exchange** and derivatives  
trading.

At Norwest, a Windows NT client/server network provides commercial  
lenders and...

20/3,K/19 (Item 13 from file: 268)

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00252724 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Making it seamless**

Shapiro, Harvey D

Institutional Investor, v28, n12, p126-128, Dec 1994 DOCUMENT TYPE:  
Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext  
WORD COUNT: 02170

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... lot of flavors of outsourcing," says G. Patrick McGunagle, managing  
director of Citibank's global **trade** financing **network**. "We provide  
**private** -label processing for banks using our network and technology. We  
give them the terminals and...

...retailer, for example. His computer could generate a purchase order,  
which would come through our **delivery** system to one of his suppliers and  
would pop out of the supplier's computer...

20/3,K/20 (Item 14 from file: 268)

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00244022 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Clear the decks**

Warner, Alison

Banker, v144, n821, p74-76, Jul 1994 DOCUMENT TYPE: Journal Article  
LANGUAGE: English RECORD TYPE: Abstract Fulltext  
WORD COUNT: 01550

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... which handle processing of transactions, documentation and provide  
guidance to customers. It also has a **network** of international **trade**  
executives--sales staff with experience in trade finance.

"In the last 12 to 18 months...

...arm of the UK Export Credits Guarantee Department (ECGD) (sold to NCM  
Credit Insurance, the **private** Dutch insurer), combined with difficult  
**trading** conditions for corporates, help to explain the criticism. He adds  
that much of it is about pre- **delivery** finance, which tends to be financed  
by overdraft, since the risk is against the buyer...

20/3,K/21 (Item 15 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00243572 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**The Clearinghouse**

Eagle, Blake

Real Estate Finance, v11, n1, p7-13, Spring 1994 DOCUMENT TYPE: Journal

Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 04146

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: regular delivery of standardized real estate portfolio-level information and the sponsorship of an independent **central** facility that will operate a **trading** market for institutionally owned and **privately** placed real estate securities.

... pension funds.(2) To that end, the Clearinghouse is designed to provide:

- \* Timely and regular **delivery** of standardized real estate portfolio-level information for use in the investment analysis and pricing of private real estate securities; and

- \* The sponsorship of an independent **central** facility that will operate a **trading** market for institutionally owned and **privately** placed real estate securities.

For a secondary market to develop in commingled fund shares, the...one or more experienced institutional securities traders, who in turn will implement the secondary market **trading** of the **privately** placed real estate investment securities among qualified investors. This trading function is critical to the...

...firm Cantor Fitzgerald, the world's largest "screen broker." Their services will feature a continuous **auction** market through their electronic **network**, information bulletin boards, and periodic electronic **auction** events.

An electronic Clearinghouse **trading network** will be established. Qualified customers who want to sell or seek to buy, exchange, or...

...or make an offer to buy with the Clearinghouse. Cantor Fitzgerald will link up the "**trading network**" with other institutional brokers and traders around the country with whom they have established trading...

20/3,K/22 (Item 1 from file: 626)

DIALOG(R)File 626:Bond Buyer Full Text

(c) 2003 Bond Buyer. All rts. reserv.

0212224

**Upcoming Tennessee Note Deal Marks Internet First**

The Bond Buyer - April 13, 1999; Pg. 5; Vol. 328, No. 30618

Word Count: 371

BYLINE:

By Robert Whalen

TEXT:

...parent company of The Bond Buyer.

The deal could also have been sold using PFM **Auction**, a **private**-label

electronic **bidding** Web site licensed by MuniAuction. However, the Internet - **bidding** firm wanted to retain Tennessee as one of its larger repeat clients, Mosby said. The...

...two previous bond sales, he indicated.

Because note deals commonly draw scores of bidders, traditional **delivery** creates more paper work than a bond sale, said Myles Harrington, president of MuniAuction.

On...

20/3,K/23 (Item 1 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04579962

**Risk Management Is Key to Future of Online B-to-B Exchanges**

Jerry Minkoff

Web Finance

June 11,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 778

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

**Risk Management Is Key to Future of Online B-to-B Exchanges**

Business-to-business **exchanges** were hit hard when the Internet bubble burst last year and venture capital dried up. But B-to-B exchanges may...

...set up to provide a more efficient way for companies in specific industry sectors to **buy** and **sell** supplies and services in an **online** environment that would eliminate the inefficiencies of phone- and paper-based methods.

...

TEXT:

Business-to-business **exchanges** were hit hard when the Internet bubble burst last year and venture capital dried up. But B-to-B exchanges may...

...set up to provide a more efficient way for companies in specific industry sectors to **buy** and **sell** supplies and services in an **online** environment that would eliminate the inefficiencies of phone- and paper-based methods.

Nearly every industry...

...trying to change the habits of their target audience. It turned out that the anonymous **online trading** environment was anathema to purchasing officers, she said.

Liquidity Comes First

However, some exchanges are...

...minds of companies, he added.

What Is Missing

eSpeed provides the technology used by 45 Internet and electronic **exchanges**, including parent company Cantor & Fitzgerald's bond **trading network**. Ten of those **exchanges** have what Uman called massive trading volume. "What is missing is risk management," he said...

...transactions require regulation.

At the most basic level, transactions that can be manipulated, such as **agricultural commodities** being traded by retail customers, are more heavily regulated than less manipulable transactions, such as...

COMPANY NAMES (DIALOG GENERATED): B to B ; Cantor & Fitzgerald ; Chicago Board Options Exchange ; Chicago Mercantile Exchange Inc ; Commodity Futures **Trading** Commission ; **Exchange** Inc ; ENRON **Online** ; Garban International Capital ; Laura Craft ; LIFFE ; Options Exchange ; Opt4derivatives ; Speed Inc ; Trading Markets

2001

20/3,K/24 (Item 2 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04579363

**U.S. Derivatives Trading Is Poised for Takeoff**

Michael Ha

Web Finance

May 28,2001

DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 842

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...U.S. derivatives market is the biggest in the world. But when it comes to **online trading**, it is still largely uncharted territory.

In America, less than 5% of overall **trading** is done **online**. Compare that to numbers from the European Union, where electronic and **Internet trading** accounts for a whopping 86.5% of total volume, and you can understand why many...

TEXT:

...U.S. derivatives market is the biggest in the world. But when it comes to **online trading**, it is still largely uncharted territory.

In America, less than 5% of overall **trading** is done **online**. Compare that to numbers from the European Union, where electronic and **Internet trading** accounts for a whopping 86.5% of total volume, and you can understand why many exchanges regard the American marketplace as the next great opportunity.

One **exchange** with a new **Internet** product is the New York Mercantile Exchange. NYMEX Executive Vice President Neal Wolkoff spoke at...

...the exchange's new integrated e-business system, enymex, calling it "a global, market-neutral, **Internet**-based electronic **trading** platform providing one-stop shopping for commodity and risk-management tools."

The plan to create...

...settled and physical commodity contracts.

When the platform is launched, it will initially focus on **energy commodities** and will have extended trading hours. "Our goal is to have a single technology interface...

...its American client base by introducing two new products this year: iAccess and @Eurex, both **Web**-based derivatives **trading** platforms for smaller brokerage houses.

As for his outlook on electronic trading in the U...

...participants also got business advice from eSpeed CEO Howard Lutnick. The company, though not an **exchange** itself, provides electronic and **Web**-based **trading** infrastructure and software for others. Its client list

includes Charles Schwab, Siebert Net, and Tradescape...

2001

20/3,K/25 (Item 3 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04577536

**Current Adds Option Pricing**

Matthew Greco

Web Finance

April 2,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 294

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...FENICS product, a currency option pricing tool used globally by banks involved in currency option **trading** .

Currenex, an **online** currency **exchange** open to institutional **buyers** and **sellers** worldwide, operates an **Internet** service, FXtrades, a **real - time** foreign **exchange marketplace** . Members include MasterCard International, Royal Dutch/Shell Group and Intel Corp. as well as 35...

TEXT:

...FENICS product, a currency option pricing tool used globally by banks involved in currency option **trading** .

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...were only available to professional traders using desktop applications."

GFinet is a creator of independent **online** markets where voice and **online trading** are integrated with **real - time** and historical market data, market commentary and analytical tools. GFinet offers cash and derivative trading capability for the fixed income, FX, equity, **energy** , **commodity** and telecom markets.

Currenex's Internet-based service, FXtrades, links banks, corporate treasury departments, institutional funds/asset managers, government agencies, international organizations and central banks through a **real - time** FX **marketplace** providing a **trading** solution from initiation and execution to settlement and reporting.

...

2001

20/3,K/26 (Item 4 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04572380

**Short Takes**

Editorial Staff

Web Finance

October 30,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1362

RECORD TYPE: FULLTEXT

April 8, 2003 14 14:51

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...than 7,500 stocks.

E-Debt Exchange Inc. Buys Debtforsale.com

E-Debt.com, an **online** debt portfolio **trading marketplace** operated by E-Debt Exchange Inc., bought Debtforsale.com, an exchange for trading and factoring...

...E\*Bank subsidiary and Sina.com (See WebFinance, 5/8/00), introduced a Chinese-language **Web site**, chinese.etrade.com.

E\* **Trade** Chinese features both Mandarin and Cantonese brokerage services, real-time translation of market news and...statement, in addition to their MasterCard or Visa bills. Citibank Bill Manager, delivered by bill **delivery** and management provider Paytrust, will have an \$8.95 monthly fee after a three-month...

...Sacramento, Calif., and Denver, and rolled out to other Western locations.

The new bank is **named** after Safeway's premium **private** label brand, Safeway SELECT. It offers a full line of banking products, including no-fee

...

20/3,K/27 (Item 5 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04571236

**Apax goes into Digital Bridges and bolero.net**

Lisa Bushrod

European Venture Capital Journal

October 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 447

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...first was bolero.net, for which Apax acted as lead investor in a \$30 million **private** placement. bolero.net is an electronic **trade** community created by the international financial messaging co-operative SWIFT (Society for World-wide Interbank...

...receipt of information within a binding legal structure, is effectively a global initiative to move **trade** onto the **Internet**. bolero.net, which provides secure electronic transmission of data and documents along the entire trade...

...paper-based trade documentation. The electronic trade community has already signed up 40 major banks, **shipping** companies, trading houses and business-to-business exchanges.

...

TEXT:

...first was bolero.net, for which Apax acted as lead investor in a \$30 million **private** placement. bolero.net is an electronic **trade** community created by the international financial messaging co-operative SWIFT (Society for World-wide Interbank...

...Launched last September, bolero.net. which acts as a neutral third party to ensure secure **delivery** and receipt of information within a binding legal structure, is effectively a global initiative to move **trade** onto

the **Internet** . bolero.net, which provides secure electronic transmission of data and documents along the entire trade...

...paper-based trade documentation. The electronic trade community has already signed up 40 major banks, **shipping** companies, trading houses and business-to-business exchanges.

The bolero.net placement was arranged by...

20/3,K/28 (Item 6 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04569746

**TAKING NOTES ON STUDENT LENDING**  
Credit Risk Management Report  
August 7, 2000 VOL: 10 ISSUE: 15 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: PHILLIPS BUSINESS INFORMATION  
LANGUAGE: ENGLISH WORD COUNT: 1405 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...Financial Services  
[WFC]&lt;E&gt;, "they're already  
private. They're owned by a **private** holding **company** that's publicly  
**traded** ."  
"We're getting rid of our GSE status. Sallie Mae wants to be  
involved in...the privately supplied Federal Family Education Loan  
Program] is not  
behind an open and common **delivery** system. Instead we see software that  
doesn't  
communicate. It's a drawback."  
"If a...  
...have to work well  
together. Does the  
lender have a process for Web-based loan **delivery** ? How automated can the  
lender  
make the process?

\* Can the lender provide private loans? With...

...in landing profitable schools. "Sallie Mae and others in the industry  
offer  
sweet terms on  
**private** loans in **exchange** for volume," says Andrade.  
Another problem being seen is inducing a school to make a...

...totem pole when  
it comes to school  
budgets. "In some instances you see companies offering **servers** and  
hardware" in  
**exchange** for loans, ...to be involved in  
eStudentLoan.com.

"We're seeing continuing technological advancements to speed up  
**delivery** ," says Veenis. "Everything could happen electronically.  
Student fills  
out an electronic  
application, an EFT file...

20/3,K/29 (Item 7 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters

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04568131

**TECHNOLOGY: Industry Tackles Selling Online**

Angela J. Ottomanelli

Annuity Market News

July 1, 2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1287

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...legal."

Companies also have to be able to protect intellectual property assets, such as domain **names**, patents, **trade secrets**, copyrights and **database information**, and this is not an easy process, Sofge said.

Unbundled products create yet another obstacle...to receiving only electronic documents, Kinstlick said. NAIC insurance replacement regulations also require confirmation of **delivery** in writing. Confirmation could be made via email, but only if consent is obtained in...

20/3,K/30 (Item 8 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04565757

**Sec Roundup**

Editorial Staff

Private Equity Week

May 1, 2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 757

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

Jeff Allen Music LLC is offering \$1 million of revenue participation notes in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing, the company had already sold \$20,000...

...has sold \$3 million of preferred stock and warrants to two accredited investors in a **private** placement, according to a Securities & **Exchange** Commission filing. Funds will be used for working capital. Based in Minneapolis, Minn., the company...

...sold up to \$19.8 million of common stock to five accredited investors in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for the acquisition of other businesses. Based in Herndon...

...company is an application service provider utility that consists of managed networks, data center, service **delivery** processes and applications implementation professionals.

Fortune Brands Inc. is offering \$2 billion of commercial paper in a **private** placement according to a Securities & **Exchange** Commission filing. Goldman Sachs & Co. is agenting the transaction. Funds will be used for the...

...Inc. has sold \$1.4 million of debt securities to one accredited investor in a **private** placement according to a Securities & **Exchange** Commission



filing. Funds will be used towards the repayment of indebtedness, working capital, and consulting...

...accessories.

Azioma Inc. is offering \$1.5 million of 10% convertible promissory notes in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for marketing and advertising of the optimization components, the...

...optimization problems.

Blink.com Inc. is offering \$1.5 million of convertible securities in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing the company had already sold \$1.4...

...be used as working capital. Based in New York, the company is a provider of **Internet** bookmark management.

**Trading** News Inc. has sold \$1 million of convertible promissory notes to 17 accredited investors in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for working capital. Based in New York, the company sold \$1 million of convertible securities to eight accredited investors in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for working capital. Based in Cambridge, Mass., the company...

...based platforms.

ThinkingBytes Technology Inc. is offering \$1.5 million of convertible securities in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing, the company had already sold \$170,000...

...com Inc. has sold \$5 million of convertible securities to one accredited investor in a **private** placement according to a Securities & **Exchange** Commission filing. The transaction was agented by G. Kopolow & Associates. Funds will be used as...

...Dynacs Engineering Co. is offering \$1.9 million of convertible notes and warrants in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing the company had already sold \$300,000...

20/3,K/31 (Item 9 from file: 267)

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04565730

**TelePacific Communications Rings In with \$150M Fourth Round**

Dan Primack & Leslie Green

Private Equity Week

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 704

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...the sole investor in the initial close and will receive a 26% equity stake in **exchange** for its participation. The **private** equity powerhouse will also receive a pair of board seats with an option for a...

...will be if TelePacific can prove it has the winning formula to defeat the incumbent **network** of local **exchange** carriers, which Glickman estimates still control as much as 95% of the telecom marketplace.

"We...

...mid-sized business needs, we have both voice and data developed in-house and our **delivery** message is fully converged in that both our voice and data product can be

20/3,K/32 (Item 10 from file: 267)  
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04565628

**TelePacific Rings For \$150M Round**

Dan Primack & Leslie Green

IPO Reporter

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 705 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...the sole investor in the initial close and will receive a 26% equity stake in **exchange** for its participation. The **private** equity powerhouse will also receive a pair of board seats with an option for a...

...will be if TelePacific can prove it has the winning formula to defeat the incumbent **network** of local **exchange** carriers, which Glickman estimates still control as much as 95% of the telecom marketplace.

"We...

...mid-sized business needs, we have both voice and data developed in-house and our **delivery** message is fully converged in that both our voice and data product can

20/3,K/33 (Item 11 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04565462

**Trading & Technology - Quieter Days for Market Makers: But That's the Way They Planned it**

Peter Chapman

Traders

April 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1251 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...Bernard L. Madoff Investment Securities and M.H. Meyerson have signed agreements with the firm, **Internet Trading** Technologies (ITTI), for its order-routing service.

The product, Universal Trader, though not exactly new...to route orders through the extranet to ITTI.

Market data vendor ILX, a Thomson Financial **company**, which operates a **private** network of 134,000 terminals, will incorporate Universal Trader into its offering. Unlike the top...

...New York brokerage and clearing firm, has deployed the technology as its new options order **delivery** platform, WWOW.

The firm is also close to a deal with a major listed trader...

20/3,K/34 (Item 12 from file: 267)  
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04563465

**The New Economy Beckons: Following the money, LBO firms shun the traditional for high tech**

Rose Darby

Investment Dealers Digest

March 13,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 3731 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...that was poorly managed and had no debt. Typically, an LBO firm would take the **company private**, load it up with debt and fire a lot of people. As a result, the...a classic change of control-type investment, in which an LBO firm buys an entire **company** or takes a public one **private**, many are settling for minority stakes, often in publicly-held companies.

Despite that fact, however...counts is understanding how that pipeline changes a business, or how it can change the **delivery** of products."

The fact that the ...have a profound impact on how deeply buyout firms and financial investors delve into the **Internet marketplace** and New Economy businesses going forward.

20/3,K/35 (Item 13 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04562877

**Foreign Exchange Trading on the Internet Is Increasing**

Jerry Minkoff

Web Finance

February 28,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1066 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...particular building or district dedicated to the business. Most trades take place electronically among a **network** of banks and foreign **exchange** brokerage houses, making **Internet** applications a natural progression.

The daily forex market is estimated to be up to \$1...

...CMC Group plc, cmcplc.com, claims to be the first company to offer its clients **real - time Internet foreign exchange trading**, launching its **Internet** service in May 1996. CMC Group said it has become the biggest **Internet** forex **trading** company in the world, handling around 50,000 transactions each month.

Another company that caters...

...nonbank traders is MG Financial Group, forex-mg.com, which describes itself as the leading **privately** held **online** foreign **exchange** **trading** service in the U. S. MG has more than 1,000 clients in 60 countries...

...leveraged, with margins between 0.5% and 1.0% on small trades. MG first offered **Internet** -based **trading** in April 1997.

MG recently launched Deal Station 2000, a new version of its trader...

...more volume and volatility. I think that if there are traders out there engaged in **online** speculative **trade**, then FX is a natural progression from that. It's really what they're looking...more are developing Web-based systems because of economies of scale and cheaper Web-based **delivery** of the service. It causes one to think whether a product like Reuters' Dealing 3000...

...forex dealers, released by Reuters last month.

The Bank Perspective

Szoc's view of the **Internet** 's influence on the foreign **exchange** markets was supported by a foreign exchange trader with a major money center bank, who spoke to WebFinance on condition of anonymity.

"Eventually, I'm convinced, most FX **trading** will occur on the **Internet**," he said. "There will be rules stipulating that really small trades go through one system...not doing it now."

Despite the credit issue, banks are aware of the potential of **Web** -based FX **trading**, according to the trader, and are working on more powerful online systems. However, they are...

...anyone who has really, truly broad, generally available to all customers-or almost all customers- **Internet trading** of FX."

...

20/3,K/36 (Item 14 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04562807

**Deal Review: As Hackers Hacked, Tumbleweed Rolled In Internet Filter Co.**  
Ricardo Roberts

Mergers & Acquisitions Reports

February 28,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 932

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...security meets business right now," more specifically, "in terms of digital rights and digital content **delivery** in a secure manner."

Through its Integrated Messaging Exchange product line, Tumbleweed offers customers secure...

...America Inc., a 5% Tumbleweed shareholder, as well as its technological partner. "UPS, a Tumbleweed **service provider**, launched a product called Document **Exchange** around June 1998," said Joe Consul, chief financial officer of Tumbleweed. Smith proposed that Worldtalk...

...some time? Smith noted that at the time of their initial meetings, "Tumbleweed was a **private company**, while Worldtalk was public, and it wouldn't have been practical for Worldtalk shareholders to lose their liquidity and become part of a **private company**."

Consul added, "We made the decision to go public because our business was rapidly growing..."

...1 billion worth of damage resulted because of Internet hacker attacks on a number of **Web sites**, including, Yahoo!, CNN, E\* **Trade** Group Inc., ZDNet, and Amazon.com Inc.. An industry update by Kovar also said those...

20/3,K/37 (Item 15 from file: 267)

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04561825

**EVCI Awards for 1999**

Andy Thomson

European Venture Capital Journal

February 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 5288

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

**TEXT:**

...GBP15 million. In France, the firm co-led the Ffr811 million management buyout of parcel **delivery** company Mory Group alongside NatWest Equity Partners. It also backed the leveraged buyout of Remy...firm could have had an even better year, though, had it not lost in an **auction** process when acting alongside a **private** equity house attempting to buy Cablecom (GBP2.3 billion) and been conflicted when asked to...in companies such as Computacenter and Dr Solomons - has been enhanced recently through high-profile **internet** flotations such as the **eXchange** and QXL. Much of the credit for this must go to Peter Englander, head of...

20/3,K/38 (Item 16 from file: 267)

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04560801

**Investors Serve OpenTable.com with \$10M First Round Financing**

J. Bradley Spirrison

Private Equity Week

January 10,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 541

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

**TEXT:**

...30 million, will be held within the next six months and likely will be the **company** 's final **private** equity financing.

"We have made good inroads in Boston, Washington, D.C., and Atlanta and ...

...toward a higher breed of culinary establishments.

"They are focused on offering to-go' and **delivery** services and cater to Pizza Hut and fast food services," he said. "We help restaurants manage themselves more efficiently by providing them with our software and active **database** ."

In **exchange** for its reservation services, OpenTable.com charges restaurants a monthly fee and a percentage of...

20/3,K/39 (Item 17 from file: 267)

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04560478

**Privacy Issue Prominent In 2000**

RETAIL DELIVERY NEWS

January 5, 2000 NE VOL: 5 ISSUE: 1 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH

WORD COUNT: 944

RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...data with a non-affiliated third-party institution.

The survey, conducted during BAI's Retail **Delivery** show last month in Miami, shows 62 percent of bankers polled believe it will be...

...a simple thing for companies collecting a lot of information," Barefoot says.

The ABA is **mailing** a privacy policy "template" to its members in keeping with the association's challenge to...to research by Cyber Dialogue.

The number of people who personalized Web pages by submitting **private information** to a hosting site rose from 2.8 million in April 1997 to 18.8...

...6 million people.

New York-based research firm Cyber Dialogue found that 88 percent of **online** users say **exchanging** information with **Web sites** is the "best way for companies to learn about customers." This view, however, is tempered...

...findings also suggest online users believe businesses are beginning to understand the importance of respecting **private information**. In 1999, only half of online users felt submitting personal information online is a guarantee...

20/3,K/40 (Item 18 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04560196

**SEC Roundup**

Editorial Staff

Private Placement Letter

December 20, 1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1098

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...offering \$75 million of convertible promissory notes, convertible preferred stock and common stock in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed \$11.46...

...million of senior subordinated notes and stock warrants to a single accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. The successful transaction was agented by McFarland, Grossman & Co. Proceeds will be used...

...in polymer applications.

C3i Inc. is offering \$1.5 million of debt securities in a **private**

placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed \$1.07...

...hot-swap replacement.

Dietology Inc. is offering \$500,000 of convertible promissory notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed half of ...

...notes with preferred stock warrants, underlying preferred stock, preferred stock and common stock in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed \$7 million...

...Hi-Tech Environmental Products LLC is offering \$4.5 million of debt notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, one accredited investor and one non-accredited...

...Inc. has sold \$250,000 of convertible promissory notes to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Proceeds from the deal will be used ...Corp. is offering \$5.5 million of one-year unsecured convertible subordinated notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, 113 accredited investors had already purchased \$5...

...communications products.

Medical Simulation Corp. is offering \$650,000 of convertible bridge notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, 14 accredited investors had already purchased \$536...

...Inc. has sold \$1.75 million of bridge notes to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Proceeds are slated to be used for working capital. Based in Irvine, Calif...

...Foundation Inc. has sold \$550,000 of debt securities to 13 accredited investors in a **private** placement, according to a Securities and **Exchange** Commission filing. Funds garnered from the deal will be used for the purchase of real...

...elderly.

Sterling Capital LLC is offering \$1.5 million of secured bridge notes in a **private** placement, according to a Securities and **Exchange** Commission filing. The notes carry a 17% coupon. At the time of the filing, the...

...1 million of convertible promissory notes and stock warrants to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Funds collected from the deal will be used for working capital, salaries and fees. Located in San Jose, Calif., the issuer produces map management software that enables the **delivery** of navigational solutions.

Utility.com Inc. is offering \$4 million of convertible bridge notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, eight accredited investors had already purchased \$3 in a **private** placement, according to a Securities and **Exchange** Commission filing. The notes have a five-year maturity and carry a 9% coupon. Proceeds...

...rental or leasing and installation of machinery and equipment. The Houston-based issuer operates an **Internet** site for **buying** and **selling**

goods.

WholesalePortal.com Inc. is offering \$300,000 of convertible promissory notes in a **private** placement, according to a Securities and **Exchange** Commission filing. Proceeds from the transaction will go toward working capital, marketing and advertising. The...

20/3,K/41 (Item 19 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04560138

**In the Net Ad Wars, CMGI Squares Off: Using Arsenal Full of Richly Valued Stock, Web Behemoth Buys and Buys**

Stephen Lacey

Mergers & Acquisitions Reports

December 20,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 666 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...engine Altavista has leveraged its escalating share price to fund the acquisitions of three publicly **traded Internet** companies and one would-be public Internet property.

And just last week, CMGI announced its...

...three days later, Engage Technologies, of which CMGI owns 79%, announced an agreement to acquire **privately** held, ad serving and analysis **company** AdKnowledge Inc. for \$193 million in stock.

On Sept. 30, CMGI announced a planned stock...

...price last May.

Moreover, with the goal of transforming its Adserve Network from a pure **delivery** platform for the serving of ad banners into a vehicle for comprehensive marketing solutions, CMGI...

20/3,K/42 (Item 20 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04559153

**Short Takes**

Matthew Greco

Web Finance

November 29,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1306 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...central production site at PayMaxx, where payroll checks and reports are processed for next-day **delivery**. BrightLane Payroll can expedite data gathering for employers at the cost of a local phone...deposit in one user-friendly package."

OffRoad Capital Debuts First Deal

OffRoad Capital, the first **online private** capital **marketplace**, completed its first **private** placement funding with nearly 100 investors from 23 states. Until now, most angel-funded deals...



20/3,K/43 (Item 21 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04557654

**Earnings Up, TSYS, NOVA Prepare For Business Beyond Y2K**  
CARD NEWS  
October 20, 1999 1 VOL: 14 ISSUE: 20 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: PHILLIPS BUSINESS INFORMATION  
LANGUAGE: ENGLISH WORD COUNT: 1481 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...and Nordstrom National  
Credit Bank [JWN] portfolios complete, TSYS has become the largest  
processor of **private** label card portfolios.

The **company** will invest some of the gains across the pond,  
where Europeans are being primed for...

...period, Nordstrom outsourced  
its 5.4 million retail card account portfolio to TSYS, making the  
**company** the largest processor of **private** -label cards.

By the end of the calendar year 1999, the company expects to  
have...site - to payment  
services such as universal shopping cart, virtual terminal, sales tax  
calculation and **shipping** capabilities, and online transaction  
processing capabilities.

Econex Is NOVA's Gateway To E-Commerce

The...

...variance in  
the progress merchants have made either moving from the off-line to  
the **online marketplace** and moving to a more sophisticated online  
presence, says Logan.

Because there is such a...

20/3,K/44 (Item 22 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04553794

**How To Service High Net Customers**  
RETAIL DELIVERY NEWS  
July 21, 1999 Y NE VOL: 4 ISSUE: 14 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: PHILLIPS BUSINESS INFORMATION  
LANGUAGE: ENGLISH WORD COUNT: 815 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...vision  
and references, Carey says.

Because the bank had a history of investing in online **delivery** ,  
a cost benefit analysis was not required, Carey says. The bank did  
rely on Forrester...

...the bank's phase one implementation

could cost up to \$10 million.

The bank built **private** passport, an online **delivery** system for personal and small-business clients. The bank has two online systems: one through...than the Web."

\* Have a clear strategy. Merrill Lynch [MER], Charles Schwab [SCH] and E\* **Trade** [EGRP] all have different **Internet** initiative offerings, she says.

\* Set aside the concept of a traditional ROI plan. "If two years ago when **online** **trading** was 10 percent of volume of retail trade, I'd have told you it would...

20/3,K/45 (Item 23 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04550858

**Out Takes**

Ron Cooper

Investment Dealers Digest

May 31,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1657

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...its own electronic market. It also plans to link with a number of independent electronic **networks** in order to **trade** shares that are listed on the rival Nasdaq stock market.

M. H. Meyerson & Co., a...comes to VBW from Cruttenden Roth, where he was vp focusing on biotechnology and drug **delivery**. Prior to that, he was a biotech analyst at Genesis Merchant Group Securities.

Robert O...controlled by Arnault, Vulcan Ventures, the investment firm run by Allen, and TA Associates; a **private** equity investment **company** based in Boston.

Timothy Leach has been appointed executive vp and chief investment officer for...

20/3,K/46 (Item 24 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04546563

**Chromatis Nails \$12M First Round**

Kelly Holman

Private Equity Week

March 15,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 275

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...Green said.

Proceeds from the round will carry the company through beta testing and enable **delivery** to the initial customers. Competitive local **exchange** carriers, **Internet** service providers and cable providers, as well as larger telecom carriers will be target customers of Chromatis.

Green said the **company** would likely pursue additional **private** equity later this year.

...  
20/3,K/47 (Item 25 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04541395

**Mailing List Management Company Raises \$4 Million First Round**  
Shawn Neidorf  
Venture Capital Journal  
November 1,1998 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: SECURITIES DATA PUBLISHING  
LANGUAGE: ENGLISH WORD COUNT: 326 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

SAN FRANCISCO - Topica Inc., an Internet **mailing** -list management company, in September raised \$4 million in its first round of institutional venture  
...

...and Topica board member Dan Nova said the company will help e-mail users find **mailing** lists by topic and also will assist with managing subscriptions. Topica also will aid list...

...Internet Profiles (I/Pro), a Web measurement and auditing company, he spearheaded three of the **company** 's four **private** fund-raising rounds, two of which were venture-backed and one corporate. As a board member of **Internet** companies Link **Exchange** and Kana Communications, he was involved in securing first rounds of financing for each company...

20/3,K/48 (Item 26 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04541237

**Mailing List Management Company Raises \$4 Million First Round**  
Shawn Neidorf  
Venture Capital Journal  
November 1,1998 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: SECURITIES DATA PUBLISHING  
LANGUAGE: ENGLISH WORD COUNT: 326 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

SAN FRANCISCO - Topica Inc., an Internet **mailing** -list management company, in September raised \$4 million in its first round of institutional venture  
...

...and Topica board member Dan Nova said the company will help e-mail users find **mailing** lists by topic and also will assist with managing subscriptions. Topica also will aid list...

...Internet Profiles (I/Pro), a Web measurement and auditing company, he spearheaded three of the **company** 's four **private** fund-raising rounds, two of which were venture-backed and one corporate. As a board member of **Internet** companies Link **Exchange** and Kana Communications, he was involved in securing first rounds of financing for each company...

20/3,K/49 (Item 27 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04538000

Trade Finance - Supplement to Project Finance - Cover Story, Time for a  
change of gear

Project Finance

August 10, 1998 PAGE: 16, 019 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS

LANGUAGE: ENGLISH WORD COUNT: 3743 RECORD TYPE: FULLTEXT

(c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

TEXT:

...that we are in the process of  
developing," reveals Robert Fraser, head of electronic trade  
**delivery** at Standard Chartered in London.

Demand from clients can come in a number of forms...in Detroit, AMS has  
fully automated its back office and the bank  
is now implementing **trade** over the **internet** .

Royal Bank of Scotland was one of the first banks to offer such a  
letter...negligible cost of entry.

Impressively, the list of participants contains most of the big  
banks, **shipping** lines, freight forwarders and major companies  
from every industry.

Says another banker in London: "Bolero...transaction, it is  
digitally signed, and the seller or freight forwarder can then  
create electronic **shipping** documents in accordance with the  
transportation documents.

The technology checks the invoice electronically against the  
**shipping** documents to determine compliance. Once the central  
processor has determined that the exporter has complied...

...added role to play in  
insuring cargoes transacted via the TradeCard system. There are  
also **private** investors in the operating **company** .

Kurt Cavano at AMS says that TradeCard is "an alternative vehicle  
to letters of credit...

20/3,K/50 (Item 28 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00039238

**INDUSTRY BRIEFS**

RETAIL DELIVERY SYSTEMS NEWS

January 30, 1998 VOL: 3 ISSUE: 2 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 496 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...Corillian Server.

Crestar Bank [CF] of Richmond, Va., has completed its transition to open financial **exchange** by installing a Corillian **server** platform. Crestar is able to connect its HTML browser-based Internet banking platform to customers...

...recent

report from the Federal Deposit Insurance Corp. (FDIC). The five areas of risk include: **data** privacy and **confidentiality**; **data** integrity; authentication; non-repudiation; access control; and system design. Threats to privacy include "sniffer programs...

...allow banks to verify legitimacy of communications. Non-repudiation systems create proof of origin or **delivery** of information. These are necessary to avoid subsequent validity disputes, Ledig says. The FDIC pays...

20/3,K/51 (Item 29 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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00037649

- East -

Private Equity Week

July 15, 1996 VOL: 3 ISSUE: 29 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: INVESTMENT DEALERS DIGEST

LANGUAGE: ENGLISH

WORD COUNT: 1590

RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

TEXT:

CDW Holding Corp. of Pittsburgh is raising \$2.885 million through a **private** offering of common stock, according to **information** provided by the company. One accredited investor has been attracted to the offering; a total...

...for general corporate purposes.

WORLDSTYLE Inc. of New York is raising \$2 million through a **private** offering of common stock, according to **information** provided by the company. To date, one accredited investor has purchased \$400,000 of the issuers offering. WORLDSTYLE is a business looking to create a fashion **marketplace** on the **Internet**. Proceeds from the sale will be used for salaries, start-up expenses and working capital...

...EMCEE Broadcast Products Inc. of White Haven, Pa., is raising \$1.893 million through a **private** offering of common stock, according to **information** provided by the company. EMCEE manufactures and sells multi-channel multi-point distribution services as...

...rental of equipment.

Innovative Displays Inc. of Westbury, N.Y., is raising \$1 million through **private** sale of common stock, according to **information** provided by the company. To date, seven accredited investors have purchased \$250,000 of common...

...administrative expenses.

MAXQ Technologies Inc. of Fairport, N.Y., is raising \$1 million through a **private** preferred offering, according to **information** provided by the company. MAXQ Technologies is involved with the development, marketing, and sales systems...

...working capital.

American Track Systems Inc. of Shrewsbury, Pa., is raising \$1 million through a **private** sale of common stock, according to **information** provided by the company. Fifteen non-accredit investors have snapped up the offering to raise working capital.

Applelusi Inc. of Wilmington, Del., is raising \$40,000 through a **private** sale of common stock, according to **information** provided by the company. To date, one accredited investor has snapped up \$5,000 of...

...Marinelli Shoes & Accessories Inc. of Coral Gables, Fla., is raising \$2.375 million through the **private** sale of preferred stock, according to **information** provided by the company. The issuer plans to design, develop and market a complete line...

...Rock Drilling, L.L.C. of Sedona, Ariz., is raising \$1.197 million through a **private** offering, according to **information** provided by the company. Proceeds from the transaction will be used to drill test and...

...gas well.

Ringling Multimedia Corp. of Sarasota, Fla., is raising \$1.5 million through a **private** common stock offering, according to **information** provided by the company. Ringling manufactures proprietary multimedia products and provides services on a for...

...Place Surgery Center Inc. of Charlotte, N.C., is raising \$1.25 million through the **private** sale of common stock, according to **information** provided by the company. Park Place Surgery Center owns and operates an ambulatory surgical center...

...to acquire other businesses.

PowerNet Holding Corp. of Dallas is raising \$1 million through a **private** offering, according to **information** provided by the company. Investors have already snapped up \$25,561 of the offering. Proceeds...

...will be used for working capital. PowerNet is an Internet technology company specializing in the **delivery** of technology to customers.

Post N Mail, L.C. of Houston raised \$9 ...and retail sales.

Advanced Business Sciences Inc. of Omaha, Neb., is raising \$3.6 million **privately**, according to **information** provided by the **company**. To date, six investors have been attracted to the offering and \$175,000 has been...

...of debt and working capital.

LSC Acquisition Corp. of Chicago raised \$3 million through a **private** sale of common stock, according to **information** provided by the company. The issue attracted four accredited investors and proceeds will be used...

...Moberly, Missouri.

Spy Optic Inc. of Carlsbad, Calif., is raising \$1.5 million through a **private** offering, according to **information** provided by the company. The issuer is designing, producing and distributing ...the implementation of IndeNet.

Therametrics Inc. of San Diego raised \$3.65 million through a **private** preferred offering, according to **information** provided by the company. The transaction attracted four accredited investors. The biotechnology research and development...

20/3,K/52 (Item 30 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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00033715

Is This a Time To Be Thinking About One-Day Settlement?

Investment Dealers' Digest

October 13, 1997 VOL: 63 ISSUE: 41 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: INVESTMENT DEALERS DIGEST

LANGUAGE: ENGLISH

WORD COUNT: 2022

RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

TEXT:

...learn to more quickly respond to brokers with affirmations that okayed trade messages, IPO prospectus **delivery** conventions had to change, and retail brokers had to be convinced their customers wouldn't...New York Stock Exchange amend its Rule 387, which requires every institutional trade eligible for **delivery** versus payment privileges (DVP) to be processed directly through the Depository Trust Co. (Almost all institutional trades qualify for DVP, which essentially permits securities to be transferred among **buyers** and **sellers** before payments are actually received.)

**Private** vendors, led by Thomson Financial Services ESG Group, have long argued that they have efficient...is operated by J.P. Morgan, and its Luxembourg-based rival, Cedel, are working on **real - time trade** processing formats. Since they interface with virtually every market in the world to help bank...

?

?show files;ds

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 File 16:Gale Group PROMT(R) 1990-2003/Apr 07  
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 File 148:Gale Group Trade & Industry DB 1976-2003/Apr 07  
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 File 275:Gale Group Computer DB(TM) 1983-2003/Apr 07  
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 File 621:Gale Group New Prod.Annou.(R) 1985-2003/Apr 07  
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 (c) 1999 Business Wire  
 File 813:PR Newswire 1987-1999/Apr 30  
 (c) 1999 PR Newswire Association Inc

Set	Items	Description
S1	2043536	COMMODITY OR COMMODITIES
S2	2102905	WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM()PRODUCT? ?
S3	3709360	SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVED) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR PATENT? ? OR INTELLECTUAL()PROPERTY)
S4	14093238	CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERGY?
S5	15458038	TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXCHANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR BUY?(5N)SELL? OR BID?
S6	100864	E()S5
S7	1041274	(ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR WEBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVICE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8	31212	CBOT OR CEA
S9	7624	S1(5N)S3
S10	1562836	(IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVERY? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY - OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE? OR HIDDEN? OR CONFIDENTIAL?)
S11	3399802	DELIVERY? OR SHIPPING OR MAILING
S12	50	(S1(5N)S3 OR S1(5N)S4) (3S) (S6 OR S7 OR S8) (3S)S10(3S)S11
S13	313	(S1(5N)S3 OR S1(5N)S4) (2S) (S6 OR S7 OR S8) (2S)S10
S14	3312	(S1(5N)S3 OR S1(5N)S4) (S) (S6 OR S7 OR S8)



S15 3 S14(S)S10(S)S11  
S16 134 S14(S)S10  
S17 175 S12 OR S15 OR S16  
S18 125 S16 NOT S12  
S19 101 S17 NOT PY>2000  
S20 49 RD (unique items)  
?t20/3,k/all

20/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

02037787 55143401

**Altrade serves as a natural resource**

Mullich, Joe  
Informationweek n790 PP: 152-154 Jun 12, 2000  
ISSN: 8750-6874 JRNL CODE: IWK  
WORD COUNT: 1378

...TEXT: first steps toward an energy exchange. "The Williams Co. started the beginning of an energy **trading network** on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match **buyers** and **sellers** ." In 1996, the Williams Co. **network** was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

... natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices-still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click. Transactions are anonymous and made in **real time** , using a dynamic **bid /ask** process that works in a fashion similar to a stock or commodity exchange. The...

... million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery** , and reporting that are used by more than 130 companies. The initial software license fee...

20/3,K/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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02016756 52940279

**Who's who in B-to-B online**

Anonymous  
Progressive Grocer v79n4 PP: S9, S14+ Apr 2000  
ISSN: 0033-0787 JRNL CODE: PGR  
WORD COUNT: 3987

...TEXT: for the fresh fruit and juice industries, eFruit reports that its system offers 24hour global **trading** , a pre-qualified **buyer / seller network** , and of course, lower administrative costs and higher cost savings with **shipping** , storage and handling.

Foodtrader.com-More than 3,500 products in over 35 food categoriesranging ...

... escargot-are represented at this global site. Registered sellers

anonymously post their products and prices online . Buyers view and bid on products through a secure channel on Foodtrader's Web site. The site includes free online resources to **shipping** lines, customs brokers, trade attorneys, country profiles and more to empower buyers and sellers do...  
...This site, which is tailored primarily to farmers and processors, offers members the ability to **buy** and **sell** more than 400 **agricultural commodities** online . The system sorts products by seller, price, location and quantity, among other criteria, which allows...

... Bureau members, however, will continue to receive free membership, a savings of nearly \$475 annually.

**Onlineproduce** .net-This net market **exchange** allows its grower, shipper/packer, wholesaler, buyer, broker and retailer members to find or post...

...market reports, weather reports, stock updates, news headlines and more. The site provides growers and **buyers** with **confidential** pricing and inventory **information** and also has a sister auction site, cheaproduce.com, which allows pricing to be set...

20/3,K/3 (Item 3 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01707916 03-58906  
**Statements to the Congress: Alan Greenspan, July 24, 1998**  
Greenspan, Alan  
Federal Reserve Bulletin v84n9 PP: 742-745 Sep 1998  
ISSN: 0014-9209 JRNL CODE: FRS  
WORD COUNT: 2539

...TEXT: The vast majority of financial futures traded simply are not as susceptible to manipulation as **agricultural** and other **commodity** futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

... 1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been **private traders** being knowledgeable of their counterparties. Government regulation can only act as a backup. It should...

20/3,K/4 (Item 4 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01707914 03-58904  
**Statements to the Congress: Laurence H. Meyer, July 16, 1998**  
Meyer, Laurence H  
Federal Reserve Bulletin v84n9 PP: 725-729 Sep 1998  
ISSN: 0014-9209 JRNL CODE: FRS  
WORD COUNT: 16096

...TEXT: The vast majority of financial futures traded simply are not as susceptible to manipulation as **agricultural** and other **commodity** futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

... 1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been **private traders** being knowledgeable of

their counterparties. Government regulation can only act as a backup. It should...

20/3,K/5 (Item 5 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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01346209 99-95605  
**FTZs: Gateways to Latin America**  
Thurston, Charles W  
Distribution v95n13 PP: 44-46 Dec 1996  
ISSN: 1066-8489 JRNL CODE: DWW  
WORD COUNT: 1081

...TEXT: says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a **network** of free **trade** zones requiring **private** sector investments estimated at between \$25 million and \$100 million per site, with one in...

... a means of attracting foreign manufacturing investment to the country, which now depends heavily on **agricultural commodities** for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

20/3,K/6 (Item 6 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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00726414 93-75635  
**A Market Grows in Russia**  
Klebnikov, Paul  
Forbes v149n12 PP: 78-82 Jun 8, 1992  
ISSN: 0015-6914 JRNL CODE: FBR  
WORD COUNT: 2590

...TEXT: of many exchanges and by no means the largest. That title goes to the Russian **Commodities & Raw Materials Exchange**, housed in the imposing pre-revolutionary **exchange** building in **central** Moscow. Under socialism, the building became a post office; now it is restored to its...

...organized as a joint stock company and is owned by several hundred large state and **private** companies. Like Alysa, the Russian **Exchange** handles everything from computers to coal, but it boasts much larger shipments and is currently...

... and enforcement, the exchanges fill that role. As clearing agent, the Russian Exchange guarantees the **delivery** of the product, imposing penalties on the seller if the shipment is either late or...

20/3,K/7 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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10072791 Supplier Number: 84739008 (USE FORMAT 7 FOR FULLTEXT)  
**www.globalfoodexchange.com. (Dot.coms). (business-to-business marketplace that connects buyers and sellers of food products in six categories) (Brief Article)**

Restaurant Business, v99, n10, p228(1)  
May 15, 2000  
Language: English Record Type: Fulltext  
Article Type: Brief Article  
Document Type: Magazine/Journal; Trade  
Word Count: 73

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...buyers and sellers of food products in six categories: poultry, seafood, produce, meat, dairy and **specialty commodities**. Market information such as market price information, industry trends and inventory demands is provided. A **private network** for **buyers** and **sellers** to **confidentially** negotiate is also offered. Logistical support, online product quality testing, credit checking and financing are...

20/3,K/8 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

09188273 Supplier Number: 61836402 (USE FORMAT 7 FOR FULLTEXT)

WHO'S WHO IN B-TO-B ONLINE. (business to business) (Industry  
Overview) (Statistical Data Included)

MAJOR, MEG

Progressive Grocer, v79, n4, p9  
April, 2000

Language: English Record Type: Fulltext  
Article Type: Industry Overview; Statistical Data Included  
Document Type: Magazine/Journal; Trade  
Word Count: 2370

... the fresh fruit and juice industries, eFruit reports that its system offers 24-hour global **trading**, a pre-qualified **buyer / seller network**, and of course, lower administrative costs and higher cost savings with **shipping**, storage and handling.

\* Foodtrader.com--More than 3,500 products in over 35 food categories...

...escargot--are represented at this global site. Registered sellers anonymously post their products and prices **online**. Buyers view and **bid** on products through a secure channel on Foodtrader's Web site. The site includes free online resources to **shipping** lines, customs brokers, trade attorneys, country profiles and more to empower buyers and sellers do...

...This site, which is tailored primarily to farmers and processors, offers members the ability to **buy** and **sell** more than 400 **agricultural commodities online**. The system sorts products by seller, price, location and quantity, among other criteria, which allows...

...Bureau members, however, will continue to receive free membership, a savings of nearly \$475 annually.

\* **Onlineproduce.net**--This net market **exchange** allows its grower, shipper/packer, wholesaler, buyer, broker and retailer members to find or post...

...market reports, weather reports, stock updates, news headlines and more. The site provides growers and **buyers** with **confidential** pricing and inventory **information** and also has a sister auction site, cheapproduce.com, which allows pricing to be set...

20/3,K/9 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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08589195 Supplier Number: 64703412 (USE FORMAT 7 FOR FULLTEXT)  
**NGFA calls exclusion of futures a 'mistake'. (National Grain and Feed Association) (Brief Article)**  
Feedstuffs, v72, n31, p5  
July 24, 2000  
Language: English Record Type: Fulltext  
Article Type: Brief Article  
Document Type: Magazine/Journal; Trade  
Word Count: 795

... could decline as commercial commodity interests seek lower-cost alternatives to hedge products, including electronic **exchanges**, **private** contracting, agricultural swaps or vertical integration through joint ownership, joint ventures or contracting.

\* Price transparency...

...farmers and commercial firms in agriculture, could be threatened by a long-term decline in **trading** in **central**, open futures markets.

NGFA also recommended Congress and CFTC consider ways to provide greater legal...

...regulatory status of over-the-counter financial instruments.

NGFA noted forward cash contracts that require **delivery** of the underlying commodity have been exempt from CFTC regulation under existing law and CFTC...

20/3,K/10 (Item 4 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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08127201 Supplier Number: 67832198 (USE FORMAT 7 FOR FULLTEXT)  
**Achieving a Web-centric Culture, Inside and Out.**  
Shelley, Suzanne  
Chemical Engineering, v107, n12, p131  
Nov, 2000  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Refereed; Trade  
Word Count: 2225

... Moai (www. moai.com). That company's LiveExchange platform automates auctions and reverse auctions, letting **buyers** and **sellers** negotiate transactions **online**, in **realtime**. "This new sales mechanism gives Eastman a quick, secure and direct pipeline to buyers, letting...

...September, ShipChem.com was designed to bring chemical manufacturers and distributors together with freight carriers, **shipping** companies and other transportation providers, third-party logistics planners, and providers of other value-added...

20/3,K/11 (Item 5 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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08126558 Supplier Number: 67722660 (USE FORMAT 7 FOR FULLTEXT)  
**Digital signatures mean better security online .**  
CENICEROS, ROBERTO  
Business Insurance, v34, p3

Dec 4, 2000

Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 2012

... forms. The public key, whose numerical code is known to more parties than know the **code** for the **private** key, is then used to decrypt and read the document. Even if several people know a public key code, that **information** is not sufficient to derive the **private** key. Therefore, they cannot use their public key information to forge or alter a document...

...digital signatures, Mr. Colton said. The act will also help spur the expected growth of **Internet**-based business **exchange** markets that connect buyers and sellers of **commodities** -such as paper, metals, **chemicals** -or almost anything necessary to run a business or create finished products. Internet-based business...

20/3,K/12 (Item 6 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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08093960 Supplier Number: 67495671 (USE FORMAT 7 FOR FULLTEXT)  
**CheMatch.com Private Auction Platform Surpasses Previous Record.**  
Business Wire, p0170  
Dec 1, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 470

HOUSTON--(BUSINESS WIRE)--Dec. 1, 2000  
CheMatch.com -- The Global **Trading Network**, a leading **Internet**-based **marketplace** and information resource for buying and selling bulk **commodity chemicals**, **plastics** and fuel products, announced that during the month of November more than 95,000 metric tons of product was transacted through the CheMatch.com **Private Auction** Platform, which represents a 49% increase over the previous monthly record.  
Since inception of the...

20/3,K/13 (Item 7 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

08093091 Supplier Number: 67464106 (USE FORMAT 7 FOR FULLTEXT)  
**getPlastic.com and Moldflow Partner to Give Online Users Powerful Plastics Simulation and Analysis Capabilities.**  
PR Newswire, p8581  
Nov 30, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 813

... 358- 5868.  
About getPlastic.com  
Founded in 1999, getPlastic.com is the first and only **online** procurement **marketplace** designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom **compounds** manufactured to specification, and **commodity materials** - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply

...

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and **private** investors. The **company** is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/14 (Item 8 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07912066 Supplier Number: 66160870 (USE FORMAT 7 FOR FULLTEXT)  
**NewsEdge's Industry-Specific Syndicated Content Enriches B2B Web Sites,  
Drives eCommerce and Builds Company Brand.**  
Business Wire, p2531  
Oct 17, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 1195

... com users.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only **online** procurement **marketplace** designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom **compounds** manufactured to specification, and **commodity materials** - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply ...

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and **private** investors. The **company** is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/15 (Item 9 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07729774 Supplier Number: 64449504 (USE FORMAT 7 FOR FULLTEXT)  
**GETPLASTIC.COM EXTENDS GLOBAL REACH OF PLASTICS E-MARKET WITH ASIA PACIFIC  
AND EUROPE-MIDDLE EAST-AFRICA GENERAL MANAGERS.**  
PR Newswire, p0029  
August 22, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 939

... custom formulation.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only **online** procurement **marketplace** designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom **compounds** manufactured to specification, and **commodity materials** - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply ...

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and **private** investors. The **company** is located at One

New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/16 (Item 10 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07648197 Supplier Number: 63746415 (USE FORMAT 7 FOR FULLTEXT)  
**AgEx.com Announces Custom Trading Site and Services To Help Tomato Growers  
Recoup Losses Caused by Tri Valley Growers Bankruptcy.**  
Business Wire, p0238  
July 28, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 476

... www.agex.com or www.tomatoex.com.

Crops listed on the Posting Board can be **bid** upon by purchasers through a neutral, **private** AgEx.com **auction** site. An intervention team led by Richardson has established new business rules to facilitate sales...

...to the Posting Board, the new site includes latest news on global tomato trends, pricing, **shipping**, current import/export data and field information. Information is compiled by the AgEx.com News...

20/3,K/17 (Item 11 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07455702 Supplier Number: 62695110 (USE FORMAT 7 FOR FULLTEXT)  
**ALTRADE SERVES AS A NATURAL RESOURCE -- A HEAD START AND BIG TRADING VOLUME  
GIVE THE NATURAL GAS MARKETPLACE A COMPETITIVE EDGE. CAN IT LAST?(Company  
Business and Marketing)**  
Mullich, Joe  
InformationWeek, p152  
June 12, 2000  
Language: English Record Type: Fulltext Abstract  
Document Type: Tabloid; General Trade  
Word Count: 1362

... first steps toward an energy exchange. "The Williams Co. started the beginning of an energy **trading network** on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match **buyers** and **sellers**." In 1996, the Williams Co. **network** was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices--still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid /ask** process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license fee...



20/3,K/18 (Item 12 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

07276402 Supplier Number: 61761076 (USE FORMAT 7 FOR FULLTEXT)  
**New Technology Could Make Toronto Hub for Global Electricity Exchange;**  
**Success of "Stress Test" Proves Viability of Internet Futures Platform.**  
Business Wire, p1496  
April 27, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 509

... the development of risk management products for Ontario's electricity industry."

BetterMarkets Ltd. is a **privately** owned Toronto based **online** commodity- **trading** centre, originally founded as MyBroker.com. BetterMarkets Ltd. combines the strength of some of Canada...

...experts through an affiliation with The Fields Institute for Research in Mathematical Sciences, with an **Internet trading** model that allows **energy** users and producers to **trade commodities** in a **real time**, high speed, secure environment.

20/3,K/19 (Item 13 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07235190 Supplier Number: 61581281 (USE FORMAT 7 FOR FULLTEXT)  
**Usource Approved for Business in Pennsylvania.**  
Business Wire, p1920  
April 19, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 376

... to build new expertise in competitive energy procurement."  
Launched in mid-1999, Usource is an **Internet** based energy **marketplace** that allows large commercial/industrial customers to post their energy needs for evaluation and bid...

...to buy their electricity and gas. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (<http://www.enermetrix.com/>), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/20 (Item 14 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07174014 Supplier Number: 61344748 (USE FORMAT 7 FOR FULLTEXT)  
**Usource Signs Major Industrial Group.**  
Business Wire, p1307  
April 6, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade

Word Count: 423

... the lowest priced and most reliable sources of energy for its members.

Usource is an **Internet** based energy **marketplace** that allows large commercial/industrial customers to post their energy needs for evaluation and bid...

...to buy their electricity and gas. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (<http://www.enermetrix.com/>), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/21 (Item 15 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07160152 Supplier Number: 61028658 (USE FORMAT 7 FOR FULLTEXT)  
**Antonio D. Aguiar Joins Usource Team.**  
Business Wire, p1241  
March 31, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 336

... Rhode Island, and a M.S. in Finance from Bentley College.

Usource is a live **Internet** -based **marketplace** in which suppliers make firm bids against firm commitments by customers to buy their electricity and gas. Usource allows large commercial/industrial customers to post their energy needs on the **Internet** for evaluation and **bid** by a large number of pre-qualified suppliers. If the supplier offers a price the ...

...and conditions, the deal is done. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. ([www.enermetrix.com](http://www.enermetrix.com/)), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/22 (Item 16 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07131704 Supplier Number: 60583071 (USE FORMAT 7 FOR FULLTEXT)  
**Usource Approved for Business in Maine.**  
Business Wire, p1559  
March 23, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 387

... energy procurement."

Usource allows large commercial/industrial customers to post their energy needs on the **Internet** for evaluation and **bid** by a large number of pre-qualified suppliers. If the supplier offers a price the...

...to buy their electricity and gas. Usource runs on the sophisticated

transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (<http://www.enermetrix.com/>), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/23 (Item 17 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06623644 Supplier Number: 55707498 (USE FORMAT 7 FOR FULLTEXT)

**Huntsman Begins Selling Over the Internet Through e-Chemicals.**

PR Newswire, p4672

Sept 9, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 388

... increasing service to our customers. Our customers can order a variety of products and get **shipping** and product information online at their convenience," said Chuck Wegman, distributor marketing for Huntsman Corporation.

About Huntsman Corporation

Huntsman Corporation is the USA's largest **privately** held chemical **company**. Its operating companies manufacture basic products for the world's most essential industries including chemicals...

20/3,K/24 (Item 18 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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02335294 Supplier Number: 43061007 (USE FORMAT 7 FOR FULLTEXT)

**A market grows in Russia**

Forbes, p78

June 8, 1992

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; General Trade

Word Count: 2609

... of many exchanges and by no means the largest. That title goes to the Russian **Commodities & Raw Materials** Exchange, housed in the imposing pre-revolutionary **exchange** building in **central** Moscow. Under socialism, the building became a post office; now it is restored to its...

...organized as a joint stock company and is owned by several hundred large state and **private** companies. Like Alysa, the Russian **Exchange** handles everything from computers to coal, but it boasts much larger shipments and is currently...

...and enforcement, the exchanges fill that role. As clearing agent, the Russian Exchange guarantees the **delivery** of the product, imposing penalties on the seller if the shipment is either late or...

20/3,K/25 (Item 19 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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01459910 Supplier Number: 41761524

**The exchange structure for defence industries enterprises (VPK exchange) is**

being created in the USSR.

Menedzher, p3

Number 7, 1991

Language: Russian; NONENGLISH Record Type: Abstract

Document Type: Magazine/Journal; Trade

ABSTRACT:

The exchange structure for defence industries enterprises (VPK exchange) is being created by the Russia **Commodity** and Raw **Material Exchange** (RTSB) in cooperation with the **Central** Research Institute on Machinery (TsNIIMASH) in Kaliningrad, Moscow region. It is expected that the new...

...a special division of the Business News Agency (AEN). All operations provided inside the VPK **exchange** will be **secret** and **information** on **trade** and commodity will be unavailable to the public. Those commodities that may be sold to...

20/3,K/26 (Item 1 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

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13393408 SUPPLIER NUMBER: 69066727 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Notes on Current Labor Statistics. (Statistical Data Included)**

Monthly Labor Review, 123, 10, 48

Oct, 2000

DOCUMENT TYPE: Statistical Data Included ISSN: 0098-1818

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 27301 LINE COUNT: 08883

... Labor Statistics, the Departments of Commerce, Interior, and Agriculture, the Federal Reserve Board, regulatory agencies, **trade** associations, and other sources.

For most industries, the productivity indexes refer to the output per...146.1 146.2 147.4

Food and beverages 165.9 166.6 166.8

**Commodities less food**

and beverages 134.4 134.0 135.7

Nondurables less food and

beverages 140.9...

20/3,K/27 (Item 2 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2003 The Gale Group. All rts. reserv.

12436425 SUPPLIER NUMBER: 63817050 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Electronic trading: big bang and beyond.**

Stavros, Richard

Public Utilities Fortnightly (1994), 138, 14, S24

July 15, 2000

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 3348 LINE COUNT: 00270

... markets," he says.

But in Altra's financial basis swap market that has no physical **delivery**, Braziel says he is working with the CFTC to avoid being regulated as a futures...

...of a wide range of standardized physical commodity contracts.

The Player's Platform: Too Risky?

**Internet** -based transaction systems to **buy** or **sell** directly with

one energy company **energy** -related products and other **commodities** were made popular with the roll-out of EnronOnline.

But although the one-company approach...

20/3,K/28 (Item 3 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

12015095 SUPPLIER NUMBER: 61641943 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**ChemMatch goes public. (Brief Article)**  
Chemistry and Industry, 232  
March 20, 2000  
DOCUMENT TYPE: Brief Article ISSN: 0009-3068 LANGUAGE: English  
RECORD TYPE: Fulltext  
WORD COUNT: 138 LINE COUNT: 00016

The first interactive, **real** -time **trading** system to allow **confidential** transactions in bulk **commodity chemicals** on the Internet is going public. The sale of shares in CheMatch.com is expected...

20/3,K/29 (Item 4 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

10546158 SUPPLIER NUMBER: 21154133 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Statements to the Congress. (Federal Reserve Board)**  
Federal Reserve Bulletin, v84, n9, p725(21)  
Sept, 1998  
ISSN: 0014-9209 LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 17255 LINE COUNT: 01419

... The vast majority of financial futures traded simply are not as susceptible to manipulation as **agricultural** and other **commodity** futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

...1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been **private traders** being knowledgeable of their counterparties. Government regulation can only act as a backup. It should...

20/3,K/30 (Item 5 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

09659845 SUPPLIER NUMBER: 19445666 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**What do the futures hold? (food industry's futures speculation) (includes related article on the 1996 Freedom to Farm Act)**  
Dwyer, Steve  
Prepared Foods, v166, n3, p17(3)  
March, 1997  
ISSN: 0747-2536 LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 1510 LINE COUNT: 00122

... we have no control over," Green got involved with the Chicago Board of Trade's ( **CBOT** ) commodity price risk management program. Green asserts that in that time the company has benefited...

...ingredient costs, an expense that typically represents the largest cost

portion of many foods.

The **CBOT** offers futures and options contracts on **commodities** frequently used in food manufacturing - corn, oats, wheat, soybeans, soybean oil, soybean meal and rice. With these contracts, food...

...risk of rising price levels through a practice commonly referred to as hedging.

Green, whose **privately** -held **company** buys ingredients like corn starch and fructose for use in the manufacturing of such products...

...through a certain period of time. We acquire contracts for the 'physical' oil and begin **shipping** to our customers immediately. We then hedge the equivalent of that purchase against the exchange...

...on the physical oil, we make it on the short position."

Since it's a **privately** -held **company**, Green wouldn't divulge the financials related to its hedging activity. However, he did add...

...car or truck of (vegetable) oil was shipped until it arrived at our facilities."

Trading **CBOT** futures is also an invaluable instrument for Van Den Bergh Foods Co., Lisle, Ill. "It...

...watch. The normally staid and predictable soybean market is exploding.

Soybean futures prices for March **delivery** jumped 57 cents a bushel, or 8.3%, so far this year while golden corn...

20/3,K/31 (Item 6 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

05792091 SUPPLIER NUMBER: 11866708 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**The M&A Rosters; third quarter 1991.**  
Mergers & Acquisitions, 26, n4, 65(65)  
Jan-Feb, 1992  
ISSN: 0026-0010 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
WORD COUNT: 104170 LINE COUNT: 10201

... others; telecommunications products, ranging from voice instruments to complex switching and transmissions systems; computers and **data** networking products and systems; and components for high technology products and services. These services and...

20/3,K/32 (Item 7 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

05223514 SUPPLIER NUMBER: 10615190 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Free foreign exchange market launched. (Egypt) (includes other news briefs)**  
MEED Middle East Economic Digest, v35, n9, p18(2)  
March 8, 1991  
ISSN: 0047-7230 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
WORD COUNT: 536 LINE COUNT: 00040

... from tourism and remittances have been drastically reduced because of the Gulf crisis. However, the **central** bank has considerable foreign **exchange** reserves to call on, and is expected to sell dollars on the secondary market to stabilise the **exchange** rate. **Private** -sector importers have also been able to tap into a European Investment Bank credit line administered by Commerzbank to purchase non- **food commodities** from

Europe. According to the most recent IMF data foreign exchange reserves stood at \$1....

20/3,K/33 (Item 1 from file: 160)  
DIALOG(R) File 160:Gale Group PROMT(R)  
(c) 1999 The Gale Group. All rts. reserv.

01541047

Daily news service on Japanese technology.  
INFORMATION HOTLINE November, 1986 p. 3

...news service that provides information on technological, industrial, and economic trends in Japan. COMLINE contains **information** from government and private publications, **trade** journals, **data bases**, and TV. The information provided in the service is transmitted every weekday into English and...

...Chemicals and Materials, Biotechnology and Medical, Economy and Finance, and New Products; and WEEKLY REPORT ( **Commodities** , Automobiles, Best Selling Products, **Energy** , New Business, and Life Styles).  
...

20/3,K/34 (Item 1 from file: 9)  
DIALOG(R) File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

02986785 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Achieving a Web-centric Culture, Inside and Out  
(Eastman Chemical, with sales of almost \$4.6 bil in 1999, expects online revenue to make up 30% of its sales by 2003)

Chemical Engineering, v 107, n 12, p 131+  
November 2000

DOCUMENT TYPE: Journal ISSN: 0009-2460 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1999

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Moai (www.moai.com). That company's LiveExchange platform automates auctions and reverse auctions, letting **buyers** and **sellers** negotiate transactions **online** , in **realtime** . "This new sales mechanism gives Eastman a quick, secure and direct pipeline to buyers, letting...

...September, ShipChem.com was designed to bring chemical manufacturers and distributors together with freight carriers, **shipping** companies and other transportation providers, third-party logistics planners, and providers of other value-added...

20/3,K/35 (Item 2 from file: 9)  
DIALOG(R) File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

02899733

Licensed exchange

(SourcingDirect.com, the cash commodity agribusiness exchange for feed, food and petfood ingredients, granted a license to Pasternak Baum)

Pet Food Industry, v 42, n 8, p 67+

August 2000

DOCUMENT TYPE: Journal ISSN: 0031-6245 (United States)  
LANGUAGE: English RECORD TYPE: Abstract

ABSTRACT:

The cash **commodity** agribusiness exchange for feed, **food** and petfood ingredients, SourcingDirect.com (Eden Prairie, MN) recently announced that it has granted a license to Pasternak, Baum & Co Inc (Greenwich, CT) for a **private** -label version of its **exchange**. Pasternak is a top cash commodity brokerage firm for all grains in the domestic as...

...foreign market. Per the terms of the private-label licensing pact, Pasternak will meld the **exchange** to its **website** along with other SourcingDirect.com services. The site is set to be on line for...

20/3,K/36 (Item 3 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

02826350 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**ALTRADE SERVES AS A NATURAL RESOURCE -- A HEAD START AND BIG TRADING VOLUME  
GIVE THE NATURAL GAS MARKETPLACE A COMPETITIVE EDGE. CAN IT LAST?**

(Altrade, an online trading exchange for the natural gas, gas liquids, and electrical power industries, processed \$9 bil in transactions for energy products in 1999)

Information Week, p 152

June 12, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1321

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...first steps toward an energy exchange. "The Williams Co. started the beginning of an energy **trading network** on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match **buyers** and **sellers**." In 1996, the Williams Co. **network** was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices--still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid /ask** process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license fee...

20/3,K/37 (Item 4 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

01700802 (USE FORMAT 7 OR 9 FOR FULLTEXT)



**FTZs: Gateways to LATIN AMERICA**

(Latin America's Free Trade Zones trade estimated \$40-60 bil/yr in goods;  
Mercosur members will add 57 FTZs in next few years)

Distribution, v 95, n 13, p 44+

December 1996

DOCUMENT TYPE: Journal ISSN: 1057-9710 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1389

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a **network** of free **trade** zones requiring **private** sector investments estimated at between \$25 million and \$100 million per site, with one in...

...a means of attracting foreign manufacturing investment to the country, which now depends heavily on **agricultural commodities** for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

**20/3,K/38 (Item 1 from file: 20)**

DIALOG(R)File 20:Dialog Global Reporter

(c) 2003 The Dialog Corp. All rts. reserv.

13235546 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Commodinent completes first on line trade**

Mohd R. Jamaludin

BUSINESS TIMES (MALAYSIA)

October 11, 2000

JOURNAL CODE: FBTM LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 287

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... with few other parties to support the transaction needs of its members, such as providing **shipping**, credit settlement and insurance services through a network of Internet-enabled third-party service providers...

**20/3,K/39 (Item 2 from file: 20)**

DIALOG(R)File 20:Dialog Global Reporter

(c) 2003 The Dialog Corp. All rts. reserv.

12990140 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Venture Market East 2000 to Host Local Presenting Companies With Headline Sponsors**

PR NEWSWIRE

September 25, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1168

... storing and exchanging contact information digitally. -- PLmarket.com, Shelton, Conn. -- provides a business-to-business **marketplace** focused on **private** label retailers, wholesalers, manufacturers, and service providers in the food, drug, and mass market industry...

... services, and technology solutions to companies with Web sites. -- Veritas Medicine, Cambridge, Mass. -- operates an **online exchange** to recruit patients for clinical trials. Patients will be able to fill out a **confidential** questionnaire containing basic **information** about their medical condition and will be sent a targeted list of clinical trials for

... and routers. -- Waybid, New York -- provides technologies that allow sellers to syndicate listings across a **network** of business-to-business **online marketplaces**. -- ZIPTRAN, Boston -- provides **real time** credit and finance services to business-to-business exchanges.

20/3,K/40 (Item 3 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

11920581 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Collectivebid.Com Signs Agreement With Bettermarkets Ltd.: Commodity Trader BetterMarkets Ltd. to Benefit From Customized B2B on-line Auctioning Software**

CCN DISCLOSURE

June 26, 2000

JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 569

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... owned Toronto based online commodity-trading center. The company facilitates on-line trading in all **commodities** including electricity, **plastics** and financial products. Earlier this year, the company created the **trading** platform, Voltz to handle **online trading** when Ontario's electricity market opens this fall. With VoltZ, when a bid or offer...

... The Fields Institute for Research in Mathematical Sciences at the University of Toronto), with an **Internet trading** model that allows energy users and producers to **trade** commodities in a **real time**, high speed, secure environment.

"We are very excited about the increased flexibility that Collectivebid.com...

20/3,K/41 (Item 4 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

11680967 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**(BW) Collectivebid.Com Signs Agreement With Bettermarkets Ltd.: Commodity Trader BetterMarkets Ltd. to Benefit From Customized B2B on-line Auctioning Software**

BUSINESS WIRE

June 26, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 587

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... owned Toronto based online commodity-trading center. The company facilitates on-line trading in all **commodities** including electricity, **plastics** and financial products. Earlier this year, the company created the **trading** platform, Voltz to handle **online trading** when Ontario's electricity market opens this fall. With VoltZ, when a bid or offer...

... The Fields Institute for Research in Mathematical Sciences at the University of Toronto), with an **Internet trading** model that allows energy users and producers to **trade commodities** in a **real time**, high speed, secure environment.

"We are very excited about the increased flexibility that Collectivebid.com..."

20/3,K/42 (Item 5 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

(c) 2003 The Dialog Corp. All rts. reserv.

11483828 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**getPlastic.com Opens First E-Market to Help Plastics Processors Procure High-Performance Resins, Create Custom Compounds**

PR NEWSWIRE

June 13, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1223

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and **private** investors. The **company** is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/43 (Item 6 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

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10764033 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**New technology could make Toronto hub for global exchange**

CANADA NEWSWIRE

April 27, 2000

JOURNAL CODE: WCNW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 526

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... The Fields Institute for Research in Mathematical Sciences, with an Internet trading model that allows **energy** users and producers to **trade commodities** in a **real time**, high speed, secure environment.

/For further information: contact: Scott McIndless, BetterMarkets Ltd., (416) 361-6377...

20/3,K/44 (Item 7 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

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10607245 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Usource Bookings Up 100% in Q1 2000**

BUSINESS WIRE

April 17, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 426

... and energy commodity Exchange developed and licensed by Enermetrix.com. (<http://www.enermetrix.com/>), a **privately held company** headquartered in Maynard, MA.

20/3,K/45 (Item 8 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

10271390 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Usource Signs New York Housing Authority - Posts 6 BCF Natural Gas  
Requirement  
BUSINESS WIRE  
March 27, 2000  
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 451

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... and energy commodity Exchange developed and licensed by  
Enermetrix.com. (<http://www.enermetrix.com/>), a **privately** held company  
headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing  
electric...

20/3,K/46 (Item 1 from file: 610)  
DIALOG(R)File 610:Business Wire  
(c) 2003 Business Wire. All rts. reserv.

00386949 20001017291B3925 (USE FORMAT 7 FOR FULLTEXT)  
**NewsEdge's Industry-Specific Syndicated Content Enriches B2B Web Sites,  
Drives eCommerce and Builds Company Brand-SalesLobby.com, getPlastic.com  
and Manufacturing.Net Leverage NewsEdge's eTopics to Drive eCommerce**  
Business Wire  
Tuesday, October 17, 2000 10:35 EDT  
JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
DOCUMENT TYPE: NEWSWIRE  
WORD COUNT: 1,169

...com users.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only **online** procurement  
**marketplace** designed specifically for engineers and purchasing  
professionals  
who locate and buy raw materials for plastic products. getPlastic.com  
provides  
buyers with one-stop-shopping access to engineering resins; custom  
**compounds**  
manufactured to specification, and **commodity materials** - sourced from  
global  
suppliers, manufacturers and compounders. An independent third party,  
getPlastic.com streamlines supply...

...virtually stress-free.  
getPlastic.com is privately held and funded by Zero Stage Capital and  
**private**  
investors. The **company** is located at One New England Executive Park,  
Burlington, MA 01803 and can be reached...

20/3,K/47 (Item 2 from file: 610)  
DIALOG(R)File 610:Business Wire

(c) 2003 Business Wire. All rts. reserv.

00247011 20000403094B7166 (USE FORMAT 7 FOR FULLTEXT)

**ContractorHub.com Launches Comprehensive E-Commerce Exchange to Streamline Procurement of Construction Materials and Services for the \$725 Billion US Construction Market**

Business Wire

Monday, April 3, 2000 09:51 EDT

JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,136

...ContractorHub.com allows contractors and suppliers to negotiate competitive pricing and issue online payment for **materials** , **commodity** or specified items and services using the Trade Acceptance Draft (TAD(TM)) Program from Actrade...

...instantly transmits their requests to either a national network of suppliers, or to their own **private** procurement **network** . Responding suppliers dispatch their **bids** through ContractorHub.com where contractors can review and sort the results. Once an order is...

20/3,K/48 (Item 1 from file: 613)

DIALOG(R)File 613:PR Newswire

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00310949 20000412HSW019 (USE FORMAT 7 FOR FULLTEXT)

**Idapta Signs on First Four B2b Emarket Makers to Use Market/Exchange(TM) And Commerce O/S(TM) to Power Transactions Within Their Vertical Marketplaces**

PR Newswire

Wednesday, April 12, 2000 08:35 EDT

JOURNAL CODE: PR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,302

...manage and scale their e-commerce marketplaces.

About FuelSpot.com

FuelSpot.com is the premier **trading** , **exchange** , and e-commerce **portal** for the energy products industry. The **Web site** is an independent **trading** /supply platform that combines integrated logistics, back-office systems, and e-commerce for use by...

...and exchange crude and refined oil products, natural gas, coal and uranium; participate in an **online auction** for capital equipment, products and services; and access targeted content, including real-time pricing data...

...sellers of high-value food products in key categories: poultry, seafood, produce, meat, dairy, and **specialty commodities** . For more information call 404-872-4489 or visit <http://www.GlobalFoodExchange.com> .

About TireDex...

...international, full-service business-to-business wine commodity exchange. This new and unique service for **buying** and **selling** fine wines will utilize the **Internet** to create a 24/7 global "stock market" with an unparalleled range of fine wine. Members will have access to up-to-date market information and an international **shipping**, storage and distribution network.

About Idapta

Idapta, Inc., formerly known as Intelligent/Digital, provides enterprise-level software platforms and trading applications on which B2B eMarkets can build and operate **Internet**-based, **real - time marketplaces** for their **buying** and **selling** communities. Founded ...is a C-Corporation, registered in Delaware, with headquarters in Atlanta, Georgia. Idapta is a **privately** held **company** with funding worth \$35 million from @Ventures, the affiliated venture capital arm of CMGI, Inc. (Nasdaq: CMGI), SAP and other **private** investors. For more **information**, visit <http://www.idapta.com>.

SOURCE Idapta, Inc.

CONTACT: Kerrin Roberts of Ketchum for Idapta...

20/3,K/49 (Item 1 from file: 624)

DIALOG(R)File 624:McGraw-Hill Publications

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01117614

**Asia's Internet Deficit: The Net is transforming the West, but companies in the East lag behind**

Business Week October 23, 2000; Pg 62; Number 3704

Journal Code: BW ISSN: 0007-7135

Section Heading: Business Week International Editions: Business Week e.biz:

SPECIAL REPORT: B2B

Word Count: 2,498 \*Full text available in Formats 5, 7 and 9\*

BYLINE:

by KEN BELSON

Contributors: Bruce Einhorn in Hong Kong, Moon Ihlwan in Seoul, Manjeet Kripalani in Bombay, Michael Shari in Singapore and Alysha Webb in Shanghai

TEXT:

... e-commerce. Its hundreds of large manufacturers are keen to automate production of their chips, **chemicals**, and steel-- **commodity**-style products well-suited to **trading online**. Asia's conglomerates also have ready-made networks of companies that share financing and infrastructure... buyers find chips during shortages, and another to organize customer bids by date, price, and **delivery** date. Although the suppliers registered on the site are largely Asian, the majority of the...

?

?show files;ds

File 256:SoftBase:Reviews,Companies&Prods. 82-2003/Mar  
(c)2003 Info.Sources Inc

Set	Items	Description
S1	377	COMMODITY OR COMMODITIES
S2	376	WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM()PRODUCT? ?
S3	2945	SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR PATENT? ? OR INTELLECTUAL()PROPERTY)
S4	5235	CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-Y?
S5	8985	TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-HANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR -BUY?(5N)SELL? OR BID?
S6	467	E()S5
S7	3192	(ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-CE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8	8	CBOT OR CEA
S9	1	S1(5N)S3
S10	1384	(IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE? OR HIDDEN? OR CONFIDENTIAL?)
S11	4740	DELIVERY? OR SHIPPING OR MAILING
S12	0	(S1(5N)S3 OR S1(5N)S4) (3S) (S6 OR S7 OR S8) (3S)S10(3S)S11
S13	0	(S1(5N)S3 OR S1(5N)S4) (2S) (S6 OR S7 OR S8) (2S)S10
S14	9	(S1(5N)S3 OR S1(5N)S4) (S) (S6 OR S7 OR S8)
S15	0	S14(S)S10(S)S11
S16	0	S14(S)S10
S17	0	S12 OR S15 OR S16
S18	0	S16 NOT S12
S19	0	S17 NOT PY>2000
S20	0	RD (unique items)
S21	7	S14 NOT PY>2000
S22	4	RD (unique items)

?t22/3,k/all

22/3,K/1

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00126484 DOCUMENT TYPE: Review

PRODUCT NAMES: B2B Marketplaces (842338); Chemical Industry (831794)

TITLE: Evolution Of B2B Species: Second-generation marketplaces tackle...

AUTHOR: Wilson, Tim

SOURCE: InternetWeek, v831 pl(2) Oct 2, 2000

ISSN: 0746-8121

HOME PAGE: <http://www.internetwk.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20010430

...already have contractual relationships, and will allow members to can access many partners, including other **exchanges**, through one **Web site**. However, unlike the majority of about 700 other B2B **e - marketplaces**, Envera will offer no spot purchasing. The exchange, says Robert Mooney, CEO of Envera, differs...  
...to one expert, three separate types of chemicals exchanges are emerging: traditional exchanges that emphasize **commodity materials**, supply chain focused exchanges, and **e - marketplaces**.

22/3,K/2

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00125268 DOCUMENT TYPE: Review

PRODUCT NAMES: B2B Marketplaces (842338); Futures (830263)

TITLE: Online exchanges mounting challenges  
AUTHOR: Krueger, Diane  
SOURCE: Futures, v29 n5 p12(2) May 2000  
ISSN: 0746-2468  
HOMEPAGE: <http://www.futuresmag.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

REVISION DATE: 20011030

Futures **exchanges** face increasing pressure from **Internet** traders after FutureCom received permission from the Commodity Futures **Trading** Commission to become the first **Internet** -based U.S. futures **exchange**. Other companies are also looking at **Internet trading**. For instance, FutureCom, which is owned by the Texas Beef Group, will first offer cash...  
...a better business model for derivative products to graders, and the Internet provided us the **network** to delivery the **trading** platform...The combination of these two developments...make(s) for a very compelling trading platform...

...SG Investment Banking, and Totalfina Elf Group) will also begin the Intercontinental Exchange for trading **energy**, metal, and other **commodities**.

22/3,K/3

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00119849 DOCUMENT TYPE: Review

PRODUCT NAMES: AltraNet.com (774227); VerticalNet (774171); Chemdex (774235); CheMatch.com (774219)

TITLE: Trading Hubs Gain Respect  
AUTHOR: Girishankar, Saroja  
SOURCE: InternetWeek, v787 p44(3) Nov 1, 1999  
ISSN: 0746-8121  
HOMEPAGE: <http://www.internetwk.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis



GRADE: Product Analysis, No Rating

REVISION DATE: 20020722

A discussion of trading hubs looks at such successes as that of AltraNet, an **online** broker and **trading** hub for the energy industry. AltraNet, says Rusty Braziel, founder and chairman of parent Altra...  
...and helping buyers and sellers to match each others' needs. The analyst, a specialist in **online** business **trading** hubs, says his original expectation of a thousand hubs by 2002 will be surpassed, since...

...trading exchanges. For instance, CheMatch is an auction platform and electronic stock market for trading **commodity chemicals**. Among buyers and sellers on the site are the top 50 in the industry, including...

22/3,K/4

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
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00118235 DOCUMENT TYPE: Review

PRODUCT NAMES: Supply Chain Management (833444)

TITLE: We Must Never Break the Chain

AUTHOR: Ritter, David

SOURCE: Intelligent Enterprise, v2 n9 p68(3) Jun 22, 1999

ISSN: 1524-3621

HOME PAGE: <http://www.intelligententerprise.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20001230

...but e-channels are really creating new markets for intermediaries. Among the more intriguing are **e - marketplaces**. **Internet** market developers and **exchange** managers are emerging in large numbers. They perform many services, including information **exchange**, **online** communities, spot **bidding** in **online auctions**, full service procurement, and inventory management. The **e - marketplace** can level the playing field, and has the potential to allow all suppliers to compete...

...direct materials can be divided into highly specific components, custom parts manufactured to specification, and **commodity** parts and **materials**. Supply chain automation employs technology to lower the number of, or eliminate altogether, the manual...  
?

?show files;ds

File 13:BAMP 2003/Mar W4

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File 75:TGG Management Contents(R) 86-2003/Mar W5

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Set	Items	Description
S1	14301	COMMODITY OR COMMODITIES
S2	16447	WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM()PRODUCT? ?
S3	55325	SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR PATENT? ? OR INTELLECTUAL()PROPERTY)
S4	110271	CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-Y?
S5	124281	TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-HANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR -BUY?(5N)SELL? OR BID?
S6	1655	E()S5
S7	13209	(ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-CE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8	284	CBOT OR CEA
S9	112	S1(5N)S3
S10	17853	(IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE? OR HIDDEN? OR CONFIDENTIAL?)
S11	60890	DELIVERY? OR SHIPPING OR MAILING
S12	3	(S1(5N)S3 OR S1(5N)S4) (3S) (S6 OR S7 OR S8) (3S)S10(3S)S11
S13	9	(S1(5N)S3 OR S1(5N)S4) (2S) (S6 OR S7 OR S8) (2S)S10
S14	49	(S1(5N)S3 OR S1(5N)S4) (S) (S6 OR S7 OR S8)
S15	0	S14(S)S10(S)S11
S16	1	S14(S)S10
S17	4	S12 OR S15 OR S16
S18	1	S16 NOT S12
S19	3	S17 NOT PY>2000
S20	3	RD (unique items)
S21	29	S14 NOT PY>2000
S22	28	RD (unique items)
S23	54	S12:S22
S24	33	S23 NOT PY>2000
S25	32	RD (unique items)

?t25/3,k/all

25/3,K/1 (Item 1 from file: 13)

DIALOG(R)File 13:BAMP

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01191627 02706095 (USE FORMAT 7 OR 9 FOR FULLTEXT)

#### Getting the Most from Online Auctions

(To develop online industrial auctions that are successful, participants need a thorough understanding of what is being bought and sold as well as a firm grasp of the capabilities that are offered by potential suppliers; identifying an opportunity is one of 4 steps to executing a successful reverse auction)

Article Author(s): Bockman, Ed

ePlant, n 3, p 32-34

September 2000

DOCUMENT TYPE: Journal; Guideline (United States)

LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 2459

TEXT:  
...Inc.

Sparked by the rapid growth of consumer-oriented sites like eBay, Yahoo, Amazon.com, **Internet auctions** have become all the rage. Unfortunately, buying industrial goods and services online is not as...  
...a Beanie Baby. Creating successful online markets for direct (also called strategic or mission-critical) **materials**, **commodities** and services involves more than just posting your needs on the **Internet** and watching the **bids** flow in.

25/3,K/2 (Item 2 from file: 13)  
DIALOG(R)File 13:BAMP  
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01189748 02696897 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**B2Bs Go Bust -- Some exchanges are falling to competition and flawed business models**

(According to InformationWeek survey, more than 25% of respondents whose companies do not take part in an E-marketplace say it is because there is no business case for joining one; 23% say there is no clear return on investment)

Information Week, p 22

December 11, 2000

DOCUMENT TYPE: Journal; Survey ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1617

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...and gloom for the independents. ChemConnect and Altra Energy are carving out niches by providing **commodity** exchanges for trading **chemicals** and **energy**, respectively; they operate like a stock exchange used by traders that may not ever take possession of the products. **E - marketplaces** such as DoveBid and SalvageSale.com fill another niche, providing auctions for used, excess, or...

25/3,K/3 (Item 3 from file: 13)  
DIALOG(R)File 13:BAMP  
(c) 2003 Resp. DB Svcs. All rts. reserv.

01189698 02704151 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Digital signatures mean better security online**

(It is anticipated that the Electronic Signatures in Global and National Commerce Act, the main purpose of which is to encourage secure Internet-based commerce, will facilitate a multi-trillion dollar boom in online-based business transactions)

Article Author(s): Ceniceros, Roberto

Business Insurance, v 34, p 3

December 04, 2000

DOCUMENT TYPE: Journal ISSN: 0007-6864 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1837

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...digital signatures, Mr. Colton said. The act will also help spur the expected growth of **Internet** -based business **exchange** markets that connect buyers and sellers of **commodities** -such as paper, metals, **chemicals** -or almost anything necessary to run a business or create finished products.  
Internet-based business...

25/3,K/4 (Item 4 from file: 13)

DIALOG(R)File 13:BAMP

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01187783

02671639 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Project Finance Meets E-Commerce**

(Electronic commerce can play a significant role in project finance, starting with the request for proposal stage, where those seeking financing can attract a wider market, through the project management stage)

Article Author(s): McKenna, John J; Saiger, Jonathan S; Dhungel, Himesh

Journal of Project Finance, v 6, n 3, p 15-18

Fall 2000

DOCUMENT TYPE: Journal ISSN: 1082-3220 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2251

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...gas industry through its industry partners, Aquila Energy TotalfinaElf, Royal Dutch Shell, and BP, this **Internet exchange** includes among its partners banks and an exchange company to create an over-the-counter exchange for **energy**, metals, and other **commodity** products. Whether this model can survive in the B2B shakeout will be determined by how...

25/3,K/5 (Item 5 from file: 13)

DIALOG(R)File 13:BAMP

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01185912

02668641 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Enron Surges Into E-Markets**

(Enron is still developing its online trading system, even after having logged nearly \$200 bil in only one year)

Article Author(s): Preston, Robert; Koller, Mike

Information Week, p 93+

November 06, 2000

DOCUMENT TYPE: Journal; Case study ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2755

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...In April, the company created a 1,500-employee incubator, Enron Net Works, to explore **E - marketplace** opportunities in data storage, logistics services, **agricultural** products, and any other **commodity** that's potentially tradable. Skilling sees data storage, in particular, as a "classic" commodity.

"You...

25/3,K/6 (Item 6 from file: 13)  
DIALOG(R)File 13:BAMP  
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01181390 02596652 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Chemical companies use E-commerce to cut costs and enhance supply chains --  
Efficiency Is New Byword**  
(The chemical industry is focusing on information technology and e-commerce  
to cut costs and improve supply chain management)  
Article Author(s): Whiting, Rick  
Information Week, n 803, p 141-150  
September 11, 2000  
DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 2413

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...based busi- ness-to-business market-places are themselves a response to  
the rise of **online** venture capital-backed **exchanges** such as CheMatch  
.com Inc. and ChemConnect Inc. They have captured a larger share of the  
spot-purchase **chemical** market, particularly for **commodity chemicals**  
where buyers don't want to be locked into long-term contracts, says George  
Young...  
...at Millennium Chemicals Inc., a Red Bank, N.J., company that makes a  
variety of **commodity**, industrial, and **specialty chemicals**. He  
questioned, for example, whether **online exchanges** can resolve conflicts  
in the different Extensible Markup Language standards on which they're  
basing...

25/3,K/7 (Item 7 from file: 13)  
DIALOG(R)File 13:BAMP  
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01181348 02596664 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**IT is critical for energy companies that want to expand their reach --  
Information: The Most Valuable Asset**  
(Amerada Hess is among other energy companies that has discovered that its  
information is possibly more valuable than the oil and gas resources it  
has at its disposal)  
Article Author(s): Sweat, Jeff  
Information Week, n 803, p 213-220  
September 11, 2000  
DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 2516

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...energy company stepping away from the physical is Enron Corp., which has  
set up an **online exchange** buying and selling **energy** as a  
**commodity**. With that model, customers need never physically receive the  
oil or gas; they can buy...

25/3,K/8 (Item 8 from file: 13)  
DIALOG(R)File 13:BAMP

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01179808 02585307 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Buying Chemicals Online**

(Companies in the chemical process industries are increasingly realizing that eprocurement can reduce costs)

Article Author(s): Shanley, Agnes; Fouhy, Ken

ePlant, v 1, p 8-11

May 2000

DOCUMENT TYPE: Journal (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2356

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...Online exchanges (One buyer, many sellers)

CheMatch (www.chematch.com, see story, p. 36)

Summary: **Online exchange** for large volumes of **commodity chemicals**; recently added **plastics**. Benzene accounts for more than half the trades. Plans an IPO this quarter.

Services: Logistics...

...000

Fees: 0.1 cents/gal.

ChemConnect (www.chemconnect.com, see story, p. 34)

Summary: **Online exchange** offering a variety of **commodity and specialty chemicals**

Services: Offers online testing capability, customized newsfeed and interactive bidding; plans to offer ERP, logistics...

25/3,K/9 (Item 9 from file: 13)

DIALOG(R)File 13:BAMP

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01175769 02546021 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**The next step for e-markets**

(As the business-to-business (B2B) e-commerce market evolves, B2B markets that want to reach their full potential must offer capabilities in such areas as up-front contract negotiation; pricing adjustments; pass-through discounts; substitutes and upgrades; and credit memos)

Article Author(s): Cahn, David M

Manufacturing Systems, v 18, n 7, p 26

July 2000

DOCUMENT TYPE: Journal ISSN: 0748-948x (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 722

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...the ability to provide different prices to different buyers, or to offer volume discounts.

Eventually, **e - marketplaces** will become key channels for procurement of

non- **commodity** goods and services (i.e., **materials** used in manufacturing). These direct materials have unpredictable replenishment requirements and highly complex pricing arrangements. But until **e - marketplaces** successfully address the intricacies of direct materials procurement, many sellers will continue to view e...

25/3,K/10 (Item 10 from file: 13)  
DIALOG(R)File 13:BAMP  
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01174006 02547437 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Online auctions are catching on**  
(Online auctions offer opportunity for both manufacturers and distributors with excess and obsolete inventory)  
Industrial Distribution, v 89, n 8, p 21  
August 01, 2000  
DOCUMENT TYPE: Journal ISSN: 0019-8153 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 626

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...than 4,000 suppliers participated in the B2B marketplace run by FreeMarkets, Inc., which includes **online auctions** for industrial parts, raw **materials**, **commodities** and services. The firm created auctions for more than \$2.7 billion in goods last...

25/3,K/11 (Item 11 from file: 13)  
DIALOG(R)File 13:BAMP  
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01173017 .02505787  
**Power Move**  
(HoustonStreet Exchange and Enermetrix.com were developed as **online energy commodity exchanges** that serve as good models for e-commerce)  
Article Author(s): Schwartz, Karen D  
CIO, v 13, n 18, p 128-130+  
July 01, 2000  
DOCUMENT TYPE: Journal; Case study ISSN: 0894-9301 (United States)  
LANGUAGE: English RECORD TYPE: Abstract

(HoustonStreet Exchange and Enermetrix.com were developed as **online energy commodity exchanges** that serve as good models for e-commerce)

ABSTRACT:

HoustonStreet Exchange and Enermetrix.com were developed as **online energy commodity exchanges** that serve as good models for e-commerce. These two Internet companies are making significant...

...attention of the energy community and continue to enhance the scope and functionality of their **Web sites**. They function as **online trading exchanges** for the energy industry but do so from opposite ends of the market. HoustonStreet.com...

25/3,K/12 (Item 12 from file: 13)  
DIALOG(R)File 13:BAMP

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01170381 02490087 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**New Paradigm for Trade Credit: On-Line Marketplaces Introduce Third-Party Lenders, Market Pricing**

(The rapid growth of on-line marketplaces, also called hubs and exchanges, is forcing change on the inefficient traditional US model for providing trade credit)

Article Author(s): Gamble, Richard H

Business Credit, v 102, n 6, p 30-34

June 2000

DOCUMENT TYPE: Journal ISSN: 0897-0181 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 4723

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...from dot-com land--like Eastman Chemical Co., Kingsport, TN. Eastman has begun to use **on - line exchanges**, both **selling** and **buying commodity chemicals** on ChemConnect, but that exchange still sends parties off-line for settlement and fulfillment, reports...

25/3,K/13 (Item 13 from file: 13)

DIALOG(R)File 13:BAMP

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01170227 02489462 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Altrade Serves as a Natural Resource**

(Altrade, a trading exchange for natural gas, has the competitive edge in the market because of its traffic volume and quick entrance into the market, but many wonder if this success will continue)

Article Author(s): Mullich, Joe

Information Week, p 152,154

June 12, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1360

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...first steps toward an energy exchange. "The Williams Co. started the beginning of an energy **trading network** on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match **buyers** and **sellers** " In 1996, the Williams Co. **network** was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices--still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid /ask** process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications



as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license foe...subsidiary of BayCorp Holdings Ltd. in Portsmouth, N.H., was launched in July as an **online trading** platform that lets power traders buy and sell wholesale electricity. In December, EnronOnline, another Internet-based transaction system for wholesale **energy** and other **commodities**, went online.

But AMR's Parker Says Altrade's early entry into the market gives...

25/3,K/14 (Item 14 from file: 13)  
DIALOG(R)File 13:BAMP  
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01169727 02508852 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**E-Procurement: Chemicals: E-market makers scramble to add value for buyers**  
(Chemical suppliers are ramping up e-commerce sites, and within the next 3-5 yrs, up to 15% of \$1.4 tril chemical industry will be marketed via Internet exchanges; discusses new value-added services)  
Article Author(s): Reilly, Christopher  
Purchasing, v 128, n 10, p S79-S90  
June 15, 2000  
DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 3834

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...led to some changing strategies and refining focuses among virtual suppliers.

While the most successful **online exchanges** to date have concentrated on auctioning of **commodity chemicals** in large volumes, many of the larger dot.coms, such as ChemConnect and CheMatch.com, have added new chemicals to their product portfolios for **online trading**.

At the same time, some new chemical exchanges are springing up to fill in gaps...

25/3,K/15 (Item 15 from file: 13)  
DIALOG(R)File 13:BAMP  
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01168477 02477003 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**DuPont's solution: downplay dot-coms**  
(Its alliances with dot-coms seemingly a thing of the past, DuPont e-business efforts are now focusing on alliances with old-line corporations)  
Article Author(s): Callahan, Sean  
BtoB, v 85, p 1,34  
May 22, 2000  
DOCUMENT TYPE: Journal ISSN: 1087-948X (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1226

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...1, Minority equity DuPont uses site mainly  
1999 investment in to procure bulk

Jan. 24, 2000	CheMatch.com Alliance with Ventro Corp. to create Industria Solutions portal	<b>commodity chemicals</b> An <b>e - marketplace</b> for procurement of pumps and other fluid processing...
...2000	with Internet Capital Group to invest in and create <b>e - marketplaces</b>	assessing ventures in various verticals
March 1, 2000	Forms consortium with Cenex Harvest States Cooperative and Cargill	Rooster.com went online in May; transaction capability expected later this year
April 5, 2000	Forms consortium with Dow, BASF and other thermoplastic producers	<b>E - marketplace</b> expected to go online Oct. 1
May 11...		
...buying and 2000	investment in AssetTrade.com	selling used equipment
May 17, 2000	Forms consortium with BP Amoco, Dow and other chemical	<b>E - marketplace</b> expected to be functional by the end of...

25/3,K/16 (Item 16 from file: 13)  
DIALOG(R)File 13:BAMP  
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01166108 02456623 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Rolling Out the Strategies of Chemical Dot-Coms**

(With increasingly varied offerings, new players are entering bulk chemical e-commerce; supply chain streamlining, auctions, and exchanges are among the business models being adopted)

Article Author(s): Boswell, Clay

Chemical Market Reporter, v 257, n 16, p FR17-FR19

April 17, 2000

DOCUMENT TYPE: Journal ISSN: 1092-0110 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2622

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...a company spokesperson. OneChem.com offers customizable internet "StoreFronts" and other transaction management tools. 1st **Chemical Market** facilitates trading in **commodities**, specialties and fine **chemicals**. The related 1st Polymer Market, now integrated with PlasticsPlatform, offers trading in polymers, as does...

...Institute (MRI). A scientist there, frustrated with his search for outsourcing partners, suggested that an **online exchange** would improve the process; ChemSource is the result. For a fixed membership fee, ChemSource allows...

...selection of a winning bid. "We have built in a fairly extensive ability to do **confidentiality** agreements with anyone who wants to **bid**," notes Bill Duncan, CEO of ChemSource. "Plus, buyers can specify suppliers, if they like, and..."

25/3,K/17 (Item 17 from file: 13)  
DIALOG(R)File 13:BAMP  
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01158306 02374420 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Businesses Seek To Cut Weak Links From Supply Chains**  
(Companies are changing how they produce, move, and market products as a result of improved technology and new business models)  
Article Author(s): Democker, Judy  
Information Week, p 141, 144+  
March 06, 2000  
DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 1678

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...based software from Ariba, Commerce One, i2, and Manugistics, companies are beginning to explore using **trading hubs-- online marketplaces** such as Altra Energy Systems, Chemdex, and e-Steel that let businesses buy **commodity** goods such as bulk **chemicals**, metals, and power more efficiently.

DaimlerChrysler, Ford, and General Motors are looking to portals to...

25/3,K/18 (Item 18 from file: 13)  
DIALOG(R)File 13:BAMP  
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01149687 02273758 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Producers, third-party exchanges gear up for the online buy**  
(Whether chemical buyers are Internet savvy or not, no one can deny the potential benefits in efficiency, global reach and reduced costs that the Internet offers chemical purchasing professionals)  
Article Author(s): Reilly, Christopher  
Purchasing, v 127, n 9, p S13,S14+  
December 16, 1999  
DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 3806

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...small regional distributor to a national distributor," he says. "It's exciting."

George Werlang, a **chemical commodities** trader with Voest Alpine, an Austrian international commodities trading house, based in Houston, Texas, has used CheMatch's **real - time exchange** format to source a wide range of industrial chemicals and products for the pharmaceutical, feed...

25/3,K/19 (Item 19 from file: 13)  
DIALOG(R)File 13:BAMP  
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01147518 02264835 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**brand and trust on the Internet**  
(There are a number of chemical manufacturers that are building their

brands through their Web sites by giving out company financial reports, environmental audits, and community projects)  
Article Author(s): Moran, Nuala  
Chemical Week Buyers' Guide and Industry Almanac, p S14,S15  
November 1999  
DOCUMENT TYPE: Journal ISSN: 0009-272X (United States)  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 1385

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...parlance, the goal is to establish 'stickiness.'

Buyers are already gravitating to new services like **online auctions** and brokerages. Rather than traditional one-to-one links, **online auctions** and **exchanges** offer **buyers** and **sellers** an opportunity to efficiently trade chemicals. They also offer a gateway to any number of...  
...around the world. ChemConnect, for example, is one of the players attempting to create an **online exchange**. It recently announced the launch of the World Chemical Exchange, a service tailored to large-scale **commodity chemical** transactions. Companies listed with the service place notices for the chemicals they want to buy...

...and offer process to large-scale chemicals trading.

But how important will brand be in **trading commodity chemicals** on the **Internet**? Bartels argues that **online auctions** need to operate like stock markets, where the names of those **buying** and **selling** are protected. "To be successful **online auctions** must be anonymous because companies don't want their competitors to know what is happening..."

25/3,K/20 (Item 20 from file: 13)  
DIALOG(R)File 13:BAMP  
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01143853 02248249 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**E-commerce is expanding -- slowly, mostly in chemicals, Part 2 of 2**

(Although a number of producers, distributors and third-party marketplaces have the Web sites to make it possible, business-to-business commerce in industrial commodities has been slow to catch on)

Article Author(s): Stundza, tom  
Purchasing, v 127, n 6, p S14-S29  
October 21, 1999  
DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 2162

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...terpenes, thermal fluids, and thickeners.

By contrast, CheMatch bills itself as "the first anonymous interactive **Internet**-based **trading exchange** for bulk **commodity chemicals**." This **Web site** brings **buyers** and **sellers** of standard bulk **commodity chemicals** together to engage in real-time confidential trades. "This makes it possible to execute significantly..."

25/3,K/21 (Item 21 from file: 13)

DIALOG(R)File 13:BAMP  
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01139955 02157824 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**New Ways to Reach Customers**

(Suppliers should make sure that they receive certain things from their E-market providers; these things include average order size, average price of product, and amount of product sold)

Article Author(s): Taylor, Dave; Terhune, Alyse

Information Week, p 377-378

September 27, 1999

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1362

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...customer-centric enterprise, then a direct Internet sales channel is preferable to the multisupplier neutral **marketplace** model.

While the E-market **Web** outlet promises the faint of heart part of the sell-direct mystique--namely, a relatively cheap sales channel, probably for **commodities** or excess **material**--it does not offer manufacturers much cloth from which to refashion the enterprise and customer...

25/3,K/22 (Item 22 from file: 13)

DIALOG(R)File 13:BAMP

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01133245 02099080 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Personal Preference**

(Choosing between online information provider is basically a matter of preference as they are somewhat indistinguishable in terms of service and price; article offers buyer's guide)

Article Author(s): Moules, Jonathan

Treasury & Risk Management, v 9, n 6, p 45-47

August 1999

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2452

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...593-5682 forwards, cross rates, domestic  
www.reuters.com instruments and rate fixes. News on  
**energy , commodities** and fixed-income  
equities. Access to Reuters  
Financial Television through Reuters  
Web. Supports real-time...

...and PR

Week.  
PC or any computer Newsline, which  
connected to an covers 6,000  
**Internet** browser. newspapers,  
magazines and  
**trade** journals  
in 17  
languages,  
including The

New York Times,  
El Pais and The  
Economist.

Dow...

25/3,K/23 (Item 23 from file: 13)  
DIALOG(R)File 13:BAMP  
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01125622 02019472 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Financing the Deal**

(It is important for companies to first do research and put together a plan  
before asking a lender for money)

Article Author(s): Earle, Neil  
World Trade, v 12, n 7, p 44-48  
July 1999

DOCUMENT TYPE: Journal ISSN: 1054-8637 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 1832

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...of Commerce. The TIC identifies government programs that can support  
your particular product and provides **information** about both public and  
**private** financing alternatives. The Foreign Agricultural Service (FAS),  
part of the US Department of Agriculture, is still another resource that  
employs specialists who help companies market **agricultural commodities**  
specifically. Individual state government agencies also furnish  
international trade and commerce data. In California, a...  
...quantum leaps' around the stifling red tape and bureaucracy that can  
bedevil overseas ventures."

The **private** sector also provides valuable **information** to companies that  
want to enter the global **marketplace**. A quick search of the **Internet**  
lists thousands of references, including many companies that offer  
international assistance programs. Dun & Bradstreet is...

25/3,K/24 (Item 24 from file: 13)  
DIALOG(R)File 13:BAMP  
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01125142 02015178

**Bid Business**

(The World Wide Web has had a significant impact on the auctioneering  
industry)

Article Author(s): Fickel, Louise  
CIO WebBusiness, v 12, n 16, p 46-48+  
June 01, 1999

DOCUMENT TYPE: Journal ISSN: 0894-9301 (United States)  
LANGUAGE: English RECORD TYPE: Abstract

**ABSTRACT:**

...to experience a new way of selling and buying products should consider  
logging on to **online auction** sites. **Online auctions** are very  
similar to live auctions; the only difference is that the former is done  
via the **Internet**. In most **online auctions**, buyers log on an **auction**  
site and enter their bid for a particular product. Buyers can view the bids  
of...

...the products. Commodity auctions are like independent auctions only the products sold are quickly moving **commodities** such as **energy** and utilities, and are done at the last minute. Meanwhile, in **private auctions**, businesses offer their products to a seller through auction sites that they themselves established. Article includes the perspectives of **buyers** and **sellers** on **online auctions**.

25/3,K/25 (Item 25 from file: 13)  
DIALOG(R)File 13:BAMP  
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01093827 01626292 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Fighting Derivatives Software Fatigue**

(As derivatives systems become cheaper, easier to buy, they also grow harder to integrate and keep current)

Article Author(s): Goff, John

Treasury & Risk Management Technology Buyers' Guide, v 8, n 6, p 47-49  
1998

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 4139

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...fxpress.com

www.fxpress.com

From \$39,000 annual license fee.

FXpress

An integrated foreign- **exchange** exposure management system.

**Real - time** data feed. Emphasis on helping the end user determine its foreign-exchange exposure. Strong counterparty...the complete risk management work-flow from transaction processing to decision support. Principal components include **real - time** derivatives **trading**; a global, multibook, multicurrency trading and risk management system; and a limit manager, which helps...

...vanilla and exotic

instruments: interest rates and fixed income, equity, equity convertibles, foreign exchange and **commodities**. (Credit and **energy** derivatives pricing packages were recently released.)  
Monis XL is available as Excel add-in, a...

25/3,K/26 (Item 26 from file: 13)  
DIALOG(R)File 13:BAMP  
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01093826 01626291 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Time to Get Real?**

(Treasurers are now debating whether they need historical or real-time data online)

Article Author(s): Jovin, Ellen

Treasury & Risk Management Technology Buyers' Guide, v 8, n 6, p 41  
1998

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2177

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...0263

Fax: (201) 714-3260

E-mail:

driscola@bis.adp.com

www.adp.com

K2

**Real - time** equity, fixed-income, foreign- **exchange** and options data. News, historical information, charting and analytical applications. Nasdaq Level II display. Can...BridgeStation Market data and news on agribusiness, equities, metals, derivatives, energy, fixed-income and foreign **exchange** .

**Real - time** and historical data, fundamental and economic data, analytics, charting, news. BridgeStation integrates with third-party...

...for OTC stocks, options, indices, fixed-income securities. News and historical data. Coverage of metals, **energy** , agriculture and other **commodities** . Data can be extracted for use with inhouse applications.

--

PC; Microsoft Windows NT; TCP/IP...

...prices. Coverage of U.S.

government securities, corporate securities, munis, mortgages, IGBs, foreign exchange, equities, **energy** , **commodities** . News, commentary, charting, analysis, indices, research data bases. Ability to port real-time prices into...market, FRAs, interest-rate

swaps, deposits,

forwards, cross rates, domestic instruments, rate fixes. News on **commodities** , **energy** , fixed-income, equities. Historical data. Analytics cover deposits, foreign exchange, futures, FRAs, interest-rate swaps...

25/3,K/27 (Item 27 from file: 13)

DIALOG(R)File 13:BAMP

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01078551

01432289 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Satisfying 25,000 users**

(Cargill unified its e-mail system so it could move data more quickly between all its locations in more than 65 countries worldwide)

Communications News, v 35, n 4, p 29

April 1998

DOCUMENT TYPE: Journal ISSN: 0010-3632 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 691

ABSTRACT:

Cargill (Minnetonka, MN), a merchandiser, processor, and distributor of **agricultural** and industrial **commodities** , combined cc:Mail Unix e-mail client software with OpenMail servers to provide secure messaging...

...of problems. E-mail is essential to the global operations of Cargill, especially its commodities **trading** business which requires **real - time** information on the movement of shipments. The continuous availability of messaging services is assured through...

25/3,K/28 (Item 28 from file: 13)

DIALOG(R)File 13:BAMP



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01026246 00832966 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**FTZs: Gateways to LATIN AMERICA**

(A range on new trade agreements has a number of Latin American nations on the FTZ bandwagon, as a way to improve regional distribution)

Article Author(s): Thurston, Charles W

Distribution, p 44-46

December 1996

DOCUMENT TYPE: Journal ISSN: 1057-9710 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1355

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a **network** of free **trade** zones requiring **private** sector investments estimated at between \$25 million and \$100 million per site, with one in...

...a means of attracting foreign manufacturing investment to the country, which now depends heavily on **agricultural commodities** for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

25/3,K/29 (Item 29 from file: 13)

DIALOG(R)File 13:BAMP

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01011948 00800688 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Materials Recycling and Reverse Channel Networks: The Public Policy**

**Challenge; Part 2 of 3 Parts**

(For reverse channel networks to play a role in shifting to the routine use of recyclables, public policy and private initiatives must interact)

Article Author(s): Allen, Jeff; Fuller, Donald A; Glaser, Mark

Journal of Macromarketing, v 16, n 1, p 52-72

Spring 1996

DOCUMENT TYPE: Journal ISSN: 0276-1467 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 4462

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...also involves setting up a network of commodities inspectors to verify product quality. Initial recyclables/ **commodities** to be traded include **plastics** and glass (Rabasca 1994a).

Examples of state and local partnering with private industry are also...

25/3,K/30 (Item 1 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)

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00236234 SUPPLIER NUMBER: 62982910 (USE FORMAT 7 FOR FULL TEXT)

**Pandora's browser. (Brief Article)**

Institutional Investor, 34, 5, 24

May, 2000

DOCUMENT TYPE: Brief Article

ISSN: 0020-3580

LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 1717 LINE COUNT: 00130

... that we live in a rapidly commoditizing world. At McKinsey I did a lot of **commodities** -trading work for **energy** -related clients. I learned that you can make money in commodities only if you have...

...these industrial inputs.

How did FreeMarkets come out of that?

At GE we used a **private network** to create **online auctions** for the first time in September 1994. But it became immediately clear that technology alone...

...a drawing, like in typical purchasing, and say to a few suppliers, "Give me a **bid** ." FreeMarkets created a very deep **database** of suppliers and a field force to look at plants and get quality ratings on...

25/3,K/31 (Item 2 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)

(c) 2003 The Gale Group. All rts. reserv.

00212949 SUPPLIER NUMBER: 20899031 (USE FORMAT 7 FOR FULL TEXT)

**Is there a future for futures?(exchanges)**

Clow, Robert

Institutional Investor, v32, n6, p53(1)

June, 1998

ISSN: 0020-3580 LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 3733 LINE COUNT: 00296

... still have a strong hand to play. For one thing, less liquid markets, such as **agricultural** and **commodities** futures, are less likely to be replaced by screen-based trading. And exchanges continue to...

...on the retail side of the market. Exchange chiefs remain adamantly optimistic. "I believe the **CBOT** will continue to grow in volume," says Arbor. "We have made a very important decision..."

25/3,K/32 (Item 3 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)

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00201334 SUPPLIER NUMBER: 19622269 (USE FORMAT 7 FOR FULL TEXT)

**The case (for) (against) (for) (against) regulation of derivatives markets:**

**150 years of experience provide a framework for decision.**

Greenspan, Alan

The Journal of Lending & Credit Risk Management, v79, n9, p38(11)

May, 1997

ISSN: 0021-986X LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 4604 LINE COUNT: 00389

... on exchanges other than those designated as contract markets by the Secretary of Agriculture. The **Secretary** was permitted to so designate an **exchange** only if certain conditions were met. These included the establishment of procedures for recordkeeping and...

...to be executed off of the regulated exchanges; it explicitly excluded forward contracts for the **delivery** of grain from the exchange-trading

requirement. Forward contracts were essentially defined as contracts for future **delivery** to which farmers or farm interests were counterparties or in which the seller, if not...

...The next major piece of federal legislation affecting futures regulation was the Commodity Exchange Act ( **CEA** ) of 1936. As in the case of the Grain Futures Act, an important objective of the **CEA** was to discourage forms of speculation that were seen as exacerbating price volatility. In addition, the **CEA** introduced provisions designed primarily to protect small investors in commodity futures, whose participation had been...

...that is, futures brokers, and for the segregation of customer funds from FCM funds. The **CEA** also expanded the coverage of futures regulation to cover contracts for cotton, rice, and certain...

...fundamental changes in the objectives of derivatives regulation. However, it expanded the scope of the **CEA** quite significantly. In addition to creating the Commodity Futures Trading Commission (CFTC) as an independent...

...giving the CFTC exclusive jurisdiction over commodity futures and options, the 1974 amendments expanded the **CEA** 's definition of " **commodity** " beyond a specific list of **agricultural commodities** to include "all other goods and articles, except onions, . . . and all services, rights, and interests in which contracts for future **delivery** are presently or in the future dealt in."

In one respect, this was sweeping deregulation...change brought a tremendous range of off-exchange transactions potentially within the scope of the **CEA** . In particular, it could be interpreted to extend the broad prohibition on off-exchange trading...

?